

McClintock Paul
Form 3
January 09, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

McClintock Paul

(Last) (First) (Middle)

7475 LUSK BLVD.

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/01/2019

3. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
President, U.S. Commercial

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
____X____ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

400 ⁽¹⁾

D

^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Date Exercisable Expiration Date Title Amount or
Number of

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				Shares		(I) (Instr. 5)	
RSUs ⁽²⁾	Â ⁽³⁾	Â ⁽³⁾	Common Stock	5,065	\$ 0	D	Â
RSUs ⁽²⁾	Â ⁽⁴⁾	Â ⁽⁴⁾	Common Stock	1,983	\$ 0	D	Â
RSUs ⁽²⁾	Â ⁽⁵⁾	Â ⁽⁵⁾	Common Stock	7,048	\$ 0	D	Â
RSUs ⁽²⁾	Â ⁽⁶⁾	Â ⁽⁶⁾	Common Stock	1,158	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McClintock Paul 7475 LUSK BLVD. SAN DIEGO, CA 92121	Â	Â	Â President, U.S. Commercial	Â

Signatures

/s/ Nathaniel B. Sisitsky, Attorney-in-Fact for Paul
McClintock

01/09/2019

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to Company equity awards as disclosed on this Form 3.
- (2) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (3) This RSU award was granted to the Reporting Person on April 1, 2016. In accordance with the terms of the RSU award, the award will vest as to 5,065 shares on April 1, 2019.
- (4) This RSU award was granted to the Reporting Person on March 1, 2017. In accordance with the terms of the RSU award, the award will vest as to 1,983 shares on March 1, 2020.
- (5) This RSU award was granted to the Reporting Person on April 30, 2018. In accordance with the terms of the RSU award, the award will vest as to 7,048 shares on April 30, 2021.
- (6) This RSU award was granted to the Reporting Person on August 1, 2018. In accordance with the terms of the RSU award, the award will vest as to 1,158 shares on August 1, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.