Daswani Mohit Form 3 November 19, 2018

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Square, Inc. [SQ] Daswani Mohit (Month/Day/Year) 11/16/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1455 MARKET (Check all applicable) STREET, Â SUITE 600 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Interim CFO & Treasurer Person SAN Form filed by More than One FRANCISCO. CAÂ 94103 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Class A Common Stock 94,543 (1) (2) (3) D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

## Edgar Filing: Daswani Mohit - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Daswani Mohit

1455 MARKET STREET SUITE 600 Â Â ÎNterim CFO & Treasurer Â

SAN FRANCISCO, CAÂ 94103

**Signatures** 

Shahzia Rahman, Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 8,155 shares of the Issuer's Class A Common Stock owned, and 86,388 shares represented by unvested restricted stock units (RSUs).
- 82,500 of the RSUs each represent a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement. Of the (2) original grant of an aggregate of 120,000 RSUs, 1/4 of the RSUs vested on June 16, 2018, and 1/16 of the total RSUs vest every three months thereafter.
- 3,888 of the RSUs each represent a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement. Of the (3) original grant of an aggregate of 4,198 RSUs, 1/16 of the RSUs vested on July 1, 2018, and 1/16 of the total RSUs vest every three months thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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