Johnson Carrie Form 3 November 05, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FORRESTER RESEARCH, INC. [FORR] Johnson Carrie (Month/Day/Year) 11/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O FORRESTER RESEARCH, (Check all applicable) INC., 60 ACORN PARK DRIVE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Research Officer \_X\_ Form filed by One Reporting Person CAMBRIDGE. MAÂ 02140 Form filed by More than One Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 3. 4. Nature of Indirect Beneficial

1.Title of Security (Instr. 4)

Common Stock

2. Amount of Securitic Beneficially Owned (Instr. 4)

Ownership
Form:
Direct (D)

4. Nature of Indirect Benefic Ownership

(Instr. 5)

Â

Direct (D) or Indirect (I) (Instr. 5)

1,157

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 4. 5. Securities Underlying Ownership Beneficial Ownership (Instr. 4) **Expiration Date** Conversion (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non Qualified Stock Option (Right to Buy)	(1)	08/02/2025	Common Stock	3,500	\$ 33.16	D	Â
Restricted Stock Units	(2)	(2)	Common Stock	583	\$ 0 (3)	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	500	\$ 0 (3)	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	1,361	\$ 0 (3)	D	Â
Restricted Stock Units	(6)	(6)	Common Stock	1,983	\$ 0 (3)	D	Â
Restricted Stock Units	(6)	(7)	Common Stock	2,342	\$ 0 (3)	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Triporous Characteristics	Director	10% Owner	Officer	Other		
Johnson Carrie C/O FORRESTER RESEARCH, INC. 60 ACORN PARK DRIVE CAMBRIDGE, MA 02140	Â	Â	Chief Research Officer	Â		

# **Signatures**

Maite Garcia, attorney-in-fact for Carrie Johnson

11/05/2018

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options become exercisable in four equal installments on the first, second, third, and fourth anniversaries of the grant date.
- (2) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock on August 3, 2019.
- (3) Each Restricted Stock Unit represents the right to receive, following vesting, one share of Forrester Research, Inc. common stock.
- (4) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock on September 1, 2019.
- (5) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock in two equal installments on August 1, 2019 and August 1, 2020.
- (6) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock in three equal installments on August 1, 2019, August 1, 2020 and August 1, 2021.
- (7) Unless earlier forfeited under the terms of the RSU, the award vests and converts into common stock in four equal installments on August 1, 2019, August 1, 2020, August 1, 2021 and August 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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