Prakash Vidul Form 3 March 05, 2018

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SUNPOWER CORP [SPWR] Prakash Vidul (Month/Day/Year) 03/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 77 RIO ROBLES (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person SAN JOSE, CAÂ 95134 (give title below) (specify below) Form filed by More than One Principal Accounting Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 15,615 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | Derivative Security  2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                        | 4. Conversion or Exercise Price of | 5.<br>Ownership<br>Form of<br>Derivative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|---|--------------------|--|------------------------|------------------------------------|--|---|
|  | Date<br>Exercisable   | Expiration<br>Date | Title  | Amount or<br>Number of | Derivative<br>Security             | Security:<br>Direct (D)<br>or Indirect   |   |

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|                               |     |     |                 | Shares |               | (I)<br>(Instr. 5) |   |
|-------------------------------|-----|-----|-----------------|--------|---------------|-------------------|---|
| Restricted Stock Units (RSUs) | (1) | (1) | Common<br>Stock | 2,934  | \$ <u>(2)</u> | D                 | Â |
| Restricted Stock Units (RSUs) | (1) | (1) | Common<br>Stock | 834    | \$ <u>(2)</u> | D                 | Â |
| Restricted Stock Units (RSUs) | (1) | (1) | Common<br>Stock | 67     | \$ <u>(2)</u> | D                 | Â |
| Restricted Stock Units (RSUs) | (3) | (3) | Common<br>Stock | 6,930  | \$ <u>(2)</u> | D                 | Â |
| Restricted Stock Units (RSUs) | (4) | (4) | Common<br>Stock | 1,800  | \$ (2)        | D                 | Â |
| Restricted Stock Units (RSUs) | (4) | (4) | Common<br>Stock | 6,450  | \$ (2)        | D                 | Â |
| Restricted Stock Units (RSUs) | (5) | (5) | Common<br>Stock | 30,000 | \$ (2)        | D                 | Â |
| Restricted Stock Units (RSUs) | (6) | (6) | Common<br>Stock | 30,000 | \$ <u>(2)</u> | D                 | Â |

# **Reporting Owners**

| Reporting Owner Name / Address                       | Relationships |           |                              |       |  |  |  |
|--|---------------|-----------|------------------------------|-------|--|--|--|
| Tropozonia o milos romano, riaminoso                 |               | 10% Owner | Officer                      | Other |  |  |  |
| Prakash Vidul<br>77 RIO ROBLES<br>SAN JOSE, CA 95134 | Â             | Â         | Principal Accounting Officer | Â     |  |  |  |

### **Signatures**

Lauren Walz,attorney-in-fact for Vidul Prakash

03/05/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The remaining RSUs shall vest on March 1, 2018.
- (2) Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon vesting.
- (3) The remaining RSUs shall vest in installments on each of January 25, 2019 and January 25, 2020.
- (4) The remaining RSUs shall vest in installments on each of March 1, 2018, March 1, 2019 and March 1, 2020.
- (5) The remaining RSUs shall vest in installments on each of January 5, 2019, January 5, 2020 and January 5, 2021.
- (6) The remaining RSUs shall vest in installments of March 1, 2018, March 1, 2019, March 1, 2020 and March 1, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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