## Edgar Filing: AUDAIN-PRESSLEY KEISHA L - Form 3

#### AUDAIN-PRESSLEY KEISHA L

Form 3

January 31, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

response...

3235-0104

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Number: January 31, Expires: 2005

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Title of Security

(Instr. 4)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PIMCO MUNICIPAL INCOME FUND II [PML] AUDAIN-PRESSLEY (Month/Day/Year) 01/24/2018 KEISHA L 4. Relationship of Reporting (Last) (First) (Middle) 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O PIMCO, 650 NEWPORT (Check all applicable) CENTER DRIVE (Street) 6. Individual or Joint/Group Director 10% Owner \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Chief Compliance Officer Person **NEWPORT** Form filed by More than One BEACH, CAÂ 92660 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned (Instr. 4)

3. 4. Nature of Indirect Beneficial Ownership Ownership Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                        | 4.<br>Conversion<br>or Exercise<br>Price of | 5. 6. Nature of Indirect Ownership Form of (Instr. 5) Derivative | Beneficial Ownership |
|--|--|-----------------|--|------------------------|---|--|----------------------|
|  |  |                 |  |                        |   |  |                      |
|  | Date<br>Exercisable                                      | Expiration Date | Title  | Amount or<br>Number of | Derivative<br>Security                      | Security:<br>Direct (D)  |                      |

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Shares

or Indirect (I)

(Instr. 5)

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|
|  | Director      | 10% Owner | Officer                        | Other |  |  |
| AUDAIN-PRESSLEY KEISHA L<br>C/O PIMCO<br>650 NEWPORT CENTER DRIVE<br>NEWPORT BEACH Â CAÂ 92660 | Â             | Â         | Chief<br>Compliance<br>Officer | Â     |  |  |

## **Signatures**

/s/ Joel Reynolds, Attorney-in-Fact for Keisha L. Audain-Pressley

01/31/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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## **Remarks:**

Exhibit List: Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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