

Vosseller Leigh
Form 3
January 02, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Vosseller Leigh</p> <p>(Last) (First) (Middle)</p> <p>C/O TANDEM DIABETES CARE, INC.,Â 11075 ROSELLE STREET</p> <p>(Street)</p> <p>SAN DIEGO,Â CAÂ 92121</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/01/2018</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TANDEM DIABETES CARE INC [TNDM]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Senior Vice President and CFO</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	---	---	---	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	754	D	Â
Common Stock	145	I	See footnote ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---------------------------	----------------------	--

Edgar Filing: Vosseller Leigh - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option <u>(2)</u>	Â <u>(3)</u>	Â <u>(4)</u>	Common Stock	8,056 <u>(5)</u>	\$ 67.694 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(7)</u>	Â <u>(4)</u>	Common Stock	5,799 <u>(5)</u>	\$ 150 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(8)</u>	Â <u>(4)</u>	Common Stock	2,711 <u>(5)</u>	\$ 119.2 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(9)</u>	Â <u>(4)</u>	Common Stock	3,390 <u>(5)</u>	\$ 69.5 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(10)</u>	Â <u>(4)</u>	Common Stock	6,780 <u>(5)</u>	\$ 23 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(11)</u>	Â <u>(4)</u>	Common Stock	7,500 <u>(5)</u>	\$ 9 <u>(5)</u>	D	Â
Stock Option <u>(6)</u>	Â <u>(12)</u>	Â <u>(4)</u>	Common Stock	80,000 <u>(5)</u>	\$ 2.59 <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Vosseller Leigh C/O TANDEM DIABETES CARE, INC. 11075 ROSELLE STREET SAN DIEGO, CA 92121	Â	Â	Â Senior Vice President and CFO	Â

Signatures

/s/ David B. Berger, Attorney-in-Fact for Leigh Vosseller

01/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities are held by the Leigh A. Vosseller Trust dated January 17, 2010, of which Leigh Vosseller is the Trustee.
- (2) Granted pursuant to the Tandem Diabetes Care, Inc. 2006 Stock Incentive Plan.
- (3) The date of grant of the option was 09/23/2013. All shares subject to the option have vested.
- (4) The expiration date for these options is 10 years from the date of grant.
- (5) Reflects a 1-for-10 reverse stock split of the issued and outstanding shares of common stock, which was effective 10/09/2017.
- (6) Granted pursuant to the Tandem Diabetes Care, Inc. 2013 Stock Incentive Plan.

Edgar Filing: Vosseller Leigh - Form 3

- (7) The date of grant of the option was 11/13/2013. All shares subject to the option have vested.
- (8) The date of grant of the option was 05/21/2015. 25% of the shares subject to the option vested on 05/21/2016 and the remainder vests in 36 equal monthly installments thereafter.
- (9) The date of grant of the option was 02/16/2016. 25% of the shares subject to the option vested on 02/16/2017 and the remainder vests in 36 equal monthly installments thereafter.
- (10) The date of grant of the option was 12/16/2016. 50% of the shares subject to the option vested on 12/16/2017 and the remainder vests in 12 equal monthly installments thereafter.
- (11) The date of grant of the option was 05/17/2017. All shares subject to the option will vest 25% on 05/17/2018 and the remainder vests in 36 equal monthly installments thereafter.
- (12) The date of grant of the option was 12/01/2017. All shares subject to the option will vest 50% on 12/01/2018 and the remainder vests in 12 equal monthly installments thereafter.

Â

Remarks:

Exhibit 24.1 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.