Reiner Deborah M Form 3 November 03, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HCA Healthcare, Inc. [HCA] A Reiner Deborah M (Month/Day/Year) 11/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE PARK PLAZA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person NASHVILLE, TNÂ 37203 (give title below) (specify below) Form filed by More than One SVP - Mktg. & Communications Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 2,020 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	ive Security 2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	(Ilisu. 3)

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				Shares		(I) (Instr. 5)	
Stock Appreciation Right	(1)	02/08/2022	Common Stock	2,500	\$ 22.95	D	Â
Stock Appreciation Right	(2)	02/08/2022	Common Stock	2,500	\$ 22.95	D	Â
Stock Appreciation Right	(3)	02/05/2024	Common Stock	4,500	\$ 47.97	D	Â
Stock Appreciation Right	(4)	02/05/2024	Common Stock	3,150	\$ 47.97	D	Â
Stock Appreciation Right	(5)	02/04/2025	Common Stock	6,000	\$ 68.96	D	Â
Stock Appreciation Right	(6)	01/29/2026	Common Stock	5,980	\$ 69.58	D	Â
Stock Appreciation Right	(7)	02/01/2027	Common Stock	6,420	\$ 81.96	D	Â
Restricted Stock Units	02/05/2018	02/05/2024	Common Stock	375	\$ (8)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>Fg</b>	Director	10% Owner	Officer	Other	
Reiner Deborah M ONE PARK PLAZA NASHVILLE, TN 37203	Â	Â	SVP - Mktg. & Communications	Â	

### **Signatures**

/s/ Kevin A. Ball, Attorney-in-Fact

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock appreciation rights vested in four equal annual installments beginning on February 8, 2013.
- (2) The stock appreciation rights vested at the end of fiscal years 2012, 2013, 2014 and 2015 based upon the achievement of certain annual EBITDA performance targets.
- (3) The stock appreciation rights vest in four equal annual installments beginning on February 5, 2015.
- On February 5, 2014, the reporting person was granted 4,500 stock appreciation rights. The stock appreciation rights are eligible to vest in equal increments of up to 25% at the end of fiscal years 2014, 2015, 2016 and 2017 based upon the extent to which certain EBITDA performance targets have been met for the applicable fiscal year. Based upon the Company's achievement of EBITDA performance criteria for 2014, 2015 and 2016, 3,150 of such stock appreciation rights have vested.
- (5) The stock appreciation rights vest in four equal annual installments beginning on February 4, 2016.

Reporting Owners 2

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- (6) The stock appreciation rights vest in four equal annual installments beginning on January 29, 2017.
- (7) The stock appreciation rights vest in four equal annual installments beginning on February 1, 2018.
- (8) Each restricted stock unit represents a contingent right to receive one share of HCA Healthcare, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.