

LOEWENBAUM G WALTER II
Form 4
August 16, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

2. Issuer Name and Ticker or Trading Symbol
3D SYSTEMS CORP [DDD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
333 THREE D SYSTEMS CIRCLE
(Street)
ROCK HILL, SC 29730

3. Date of Earliest Transaction (Month/Day/Year)
08/15/2017

Director 10% Owner
 Officer (give title below) Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/15/2017		G	V 3,000 D	\$ 0 1,006,777 ⁽¹⁾	D	
Common Stock					376,441	I	By The Loewenbaum 1992 Trust ⁽²⁾ ⁽³⁾
Common Stock					207,292	I	By spouse ⁽²⁾ ⁽⁴⁾
Common Stock					33,279	I	By The Lillian Shaw Loewenbaum Trust ⁽²⁾ ⁽³⁾

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Common Stock		5,100	I	By Anna Willis Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock		5,100	I	The Elizabeth Scott Loewenbaum 1993 Trust <u>(2)</u> <u>(3)</u>
Common Stock		60,000	I	By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(5)</u>
Common Stock		55,565	I	By The Waterproof Partnership, L.P. <u>(2)</u> <u>(6)</u>
Common Stock		67,500	I	Blanco Cattle & Ranch, LLC <u>(7)</u>
Common Stock		13,197	I	The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(8)</u>
Common Stock		8,893	I	The LSL3D 2015 Annuity Trust U/A Dtd 05/18/2015 <u>(2)</u> <u>(9)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned
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Security

Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

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Repo
Trans
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730		X		

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

08/16/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 27,671 shares previously held through George Walter Loewenbaum TTEE the GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 which were transferred to the Reporting Person's direct holdings on June 1, 2017. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.
- (3) Mr. and Mrs. Loewenbaum serve as Trustees.
- (4) Includes 18,645 shares previously held through Lillian Shaw Loewenbaum TTEE the LSL3D 2015 Annuity Trust U/A Dtd 5/18/2015 which were transferred to the Reporting Person's spouse on June 1, 2017. It also includes 11,703 shares previously held through Lillian Shaw Loewenbaum TTEE The LSL3DS 2014 Annuity Trust U/A Dtd 11/13/2014 which were transferred to the Reporting Person's spouse on June 13, 2017. Neither transfer changed the Reporting Person's total beneficial ownership as of the transaction date.
- (5) Mr. Loewenbaum serves as Trustee.
- (6) Mr. and Mrs. Loewenbaum are the general partners.
- (7) The limited liability company is owned 100% by Mr. Loewenbaum.
- (8) Excludes 27,671 shares previously held through George Walter Loewenbaum TTEE the GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 which were transferred to the Reporting Person's direct holdings on June 1, 2017. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.
- (9) Excludes 18,645 shares previously held through Lillian Shaw Loewenbaum TTEE the LSL3D 2015 Annuity Trust U/A Dtd 5/18/2015 which were transferred to the Reporting Person's spouse on June 1, 2017. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.