## Edgar Filing: GENWORTH FINANCIAL INC - Form 4

GENWORTH FIN Form 4 April 04 2017	IANCIAL I	NC									
April 04, 2017 OMB APPROVAL   FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number:   Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 3235-028' 2005   Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. <i>See</i> Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, or Section 30(h) of the Investment Company Act of 1940 State Allower Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1940 State Allower Section 17(a)											
(Print or Type Response	ses)										
RESTREPO ROBERT P JR Symbo				ENWORTH FINANCIAL INC			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)(First)(Middle)3. Date of 1(Month/DaC/O GENWORTH FINANCIAL,INC., 6620 WEST BROAD STREET			Delow)								
(Street) 4. If Amendment, Date Filed(Month/Day/Year)				-	nal 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (Si	tate)	(Zip)	Tah	le I - Non-l	Derivative	Securities A	cquired, Disposed	of or Beneficia	llv Owned		
	isaction Date n/Day/Year)		ed Date, if	3. Transactio Code (Instr. 8) Code V	4. Securiti nAcquired Disposed (Instr. 3, 4	es (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Reminder: Report on a					Perso inform requir displa numb	ns who res nation cont ed to respo ys a curren er.	or indirectly. spond to the collection ained in this form ond unless the fo ntly valid OMB co Beneficially Owner	n are not rm ontrol	SEC 1474 (9-02)		

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	iorDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year) (Instr. 3 and 4)		4)	
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Deferred Stock Units	<u>(1)</u>	03/31/2017		А	8,563.982		<u>(1)</u>	<u>(1)</u>	Class A Common Stock	8,563.982

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
I. S.	Director	10% Owner	Officer	Other		
RESTREPO ROBERT P JR C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230	Х					
Signatures						
/s/David F. Kurzawa, by power of attorney	04/04/2017					
**Signature of Reporting Person		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred Stock Units become payable in shares of Class A Common Stock beginning one year after termination of service as a director. The Reporting Person has previously elected to receive such amount in a single payment or in payments spread out for up to 10 years.
- (2) The number of Deferred Stock Units acquired represents a portion of the Reporting Person's annual retainer fee and was based on a price of \$4.0285 per share of Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.