PNC FINANCIAL SERVICES GROUP, INC.

Form 4

February 12, 2016

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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January 31, Expires: 2005

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obligations

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Lyons Michael P.

2. Issuer Name and Ticker or Trading

Symbol

PNC FINANCIAL SERVICES GROUP, INC. [PNC]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

THE TOWER AT PNC PLAZA, 300 02/10/2016 FIFTH AVENUE

(Zip)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below)

**Executive Vice President** 

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> Filed(Month/Day/Year) Applicable Line)

> > \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**PITTSBURGH, PA 15222-2707** 

(State)

|                                      |   | Tabl  | e i - Moli-D  | erranve i | 3CCui i          | ines Acqu   | iii cu, Disposcu oi  | , or belieficial                              | y Owneu   |
|--------------------------------------|---|---|---|-----------|------------------|-------------|--|---|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | n Date, if Transaction(A) or Disposed of Code (Instr. 3, 4 and 5) |           |                  | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code V  | Amount    | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (Instr. 4)                                    |   |
| \$5 Par<br>Common<br>Stock           | 02/10/2016                              |   | A <u>(1)</u>  | 24,687    | A                | \$ 0        | 90,763   | D   |   |
| \$5 Par<br>Common<br>Stock           | 02/10/2016                              |   | F(2)  | 11,269    | D                | \$<br>82.48 | 79,494   | D   |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and | 7. Title     | e and             | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|-------------|--------------|-------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D  | ate         | Amour        | nt of             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)       | Underl       | ying              | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e             |             | Securit      | ties              | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities |               |             | (Instr. :    | 3 and 4)          |             | Owne   |
|             | Security    |                     |                    |                   | Acquired   |               |             |              |                   |             | Follo  |
|             |             |                     |                    |                   | (A) or     |               |             |              |                   |             | Repo   |
|             |             |                     |                    |                   | Disposed   |               |             |              |                   |             | Trans  |
|             |             |                     |                    |                   | of (D)     |               |             |              |                   |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3, |               |             |              |                   |             |        |
|             |             |                     |                    |                   | 4, and 5)  |               |             |              |                   |             |        |
|             |             |                     |                    |                   |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |                   |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |                   |            | Date          | Expiration  |              | or<br>Name la sur |             |        |
|             |             |                     |                    |                   |            | Exercisable   | Date        | Title Number |                   |             |        |
|             |             |                     |                    | C 1 W             | (A) (D)    |               |             |              | of                |             |        |
|             |             |                     |                    | Code V            | (A) (D)    |               |             | ,            | Shares            |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Lyons Michael P. THE TOWER AT PNC PLAZA 300 FIFTH AVENUE PITTSBURGH, PA 15222-2707

**Executive Vice President** 

#### **Signatures**

Rachel L. Smydo, Attorney-in-Fact for Michael P. Lyons

02/12/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On February 10, 2016, 24,687 shares of PNC common stock vested after the Personnel and Compensation Committee approved a

payout of 109.78% based on performance against established criteria of previously granted incentive performance units to the reporting person. The grant was made on February 14, 2013 and expressed as a "target" number of share units, with payout contingent on PNC having achieved related performance criteria. Subject to the Committee's discretion, the reporting person is eligible to receive shares of PNC common stock (if PNC meets specified performance criteria up to the target level) and/or cash (if performance criteria exceeds the target level).

Represents shares withheld to cover the reporting person's tax liability in connection with the incentive performance unit opportunity awarded on February 10, 2016 and granted on February 14, 2013.

#### Remarks:

See attached footnotes page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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