

GLU MOBILE INC

Form 4

August 05, 2014

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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Estimated average  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Cannon Gregory J.

(Last) (First) (Middle)

500 HOWARD STREET, SUITE  
300

(Street)

SAN FRANCISCO, CA 94105

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction  
(Month/Day/Year)

08/01/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Vice President of Finance

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/01/2014		M <sup>(1)</sup>	40,000 A	\$ 0.79 138,443	D	
Common Stock	08/01/2014		S <sup>(1)</sup>	40,000 D	\$ 5.6993 98,443	D	
Common Stock	08/01/2014		M <sup>(1)</sup>	9,666 A	\$ 0.78 108,109	D	
Common Stock	08/01/2014		S <sup>(1)</sup>	9,666 D	\$ 5.6993 98,443	D	
	08/01/2014		S <sup>(1)</sup>	37,596 D	60,847	D	

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Common  
Stock

\$  
5.6979  
(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy)	\$ 0.79	08/01/2014		M <sup>(1)</sup>	40,000	<sup>(3)</sup> 04/08/2015	Common Stock	40,000
Stock Option (right to buy)	\$ 0.78	08/01/2014		M <sup>(1)</sup>	9,666	<sup>(4)</sup> 05/21/2015	Common Stock	9,666

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Cannon Gregory J. 500 HOWARD STREET, SUITE 300 SAN FRANCISCO, CA 94105	Vice President of Finance

## Signatures

/s/ Gregory J.  
Cannon

08/05/2014

Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Each of (a) the stock option exercises and the sales of the underlying option shares and (b) the sale of shares held by Mr. Cannon were
- (1) effected pursuant to a trading plan designed to comply with Rule 10b5-1 of the Securities Exchange Act of 1934 that Mr. Cannon entered into on March 7, 2014.
  - (2) Represents a weighted average price, as this transaction was executed in multiple trades at prices ranging from \$5.61 to \$5.88. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares sold at each separate price.
  - (3) The option vested and became exercisable with respect to 25% of the shares on April 8, 2010 and the remaining shares vested and became exercisable in equal monthly installments over the following three years, such that the option fully vested on April 8, 2013.
  - (4) The option was granted on May 21, 2009 and vested and became exercisable in equal monthly installments over three years such that the option fully vested on May 21, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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