**ANDERSONS INC** Form 4

July 28, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Waggoner Thomas Lowell

(Street)

(First) (Middle)

P O BOX 119

(Last)

MAUMEE, OH 43537

2. Issuer Name and Ticker or Trading Symbol

ANDERSONS INC [ANDE]

3. Date of Earliest Transaction (Month/Day/Year) 07/22/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Director 10% Owner X\_ Officer (give title Other (specify below)

President, Turf & Specialty

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security			3.		s Acquired (A)	5. Amount of	6.
(Instr. 3)	(Month/Day/Year)	Execution Date, if		onor Disposed		Securities	Ownership
		any	Code	(Instr. 3, 4 a	and 5)	Beneficially Owned	Form:
		(Month/Day/Year)	(Instr. 8)	(Instr. 8)			Direct (D)
						Following	or Indirect
					(A)	Reported	(I)
					or	Transaction(s)	(Instr. 4)
			Code V	Amount	(D) Price	(Instr. 3 and 4)	
COMMON	07/22/2014		<b>J</b> (1)	20 5727	, \$	20 152 1212	D
STOCK	07/22/2014		J <u>(1)</u>	28.5727	A 56.07	29,152.1212	D
DEDECORMANCE							
PERFORMANCE						2 = = 2 (2)	_
SHARE UNIT						$3,750 \frac{(2)}{}$	D
(2015)							
PERFORMANCE							
SHARE UNIT						2,475 (3)	D
						2,473 <u>&lt; &gt;</u>	D
(2016)							
PERFORMANCE							
SHARE UNIT						$2,570^{(2)}$	D
(2017)						, <b>_</b>	
(2017)							

7. Nature of Indirect

Beneficial Ownership (Instr. 4)

#### Edgar Filing: ANDERSONS INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

De Sec (In

5,025

**STOCK** 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and		7. Title and Amount of		1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying Securities		J
Security	or Exercise		any	Code of		(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e				(
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A manust	
									Amount	
						Date	Expiration	Title	or Number	
					Exercisable	Date	Title	of		
				Code V	(A) (D)				Shares	
				Coue v	(A) (D)				Shares	
SOSAR	¢ 21 92					03/01/2011	04/01/2015	COMMON	5.025	

#### **Reporting Owners**

\$ 21.83

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Waggoner Thomas Lowell P O BOX 119 MAUMEE, OH 43537

President, Turf & Specialty

#### **Signatures**

**SOSAR** 

Thomas Waggoner, by Mary Schroeder, Limited Power of Attorney

07/28/2014

03/01/2011 04/01/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reinvestment of dividend
- (2) Stock performance unit granted pursuant to The Andersons, Inc. Plan. Units vest 100% in 3 years contingent on cumulative EPS. Number of underlying shares are determined by the three-year cumulative fully diluted EPS for the performance period.
- Stock performance unit granted pursuant to The Andersons, Inc. plan. Units vest 100% in 27 months contingent on cumulative EPS from (3) 10/01/2013 to 12/31/2015. Number of underlying shares are determined by the twenty-seven months cumulative fully diluted EPS for the performance period.

Reporting Owners 2

#### Edgar Filing: ANDERSONS INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.