#### CHINDEX INTERNATIONAL INC

Form 4 July 22, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JPMORGAN CHASE & CO Issuer Symbol CHINDEX INTERNATIONAL INC (Check all applicable) [CHDX] (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below) 270 PARK AVENUE 02/12/2008 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10017

| (City)                               | (State) (Z                              | Zip) Table  | e I - Non-Do                            | erivative S | Secur | ities Acq  | quired, Disposed (   | of, or Beneficial   | lly Owned        |
|--------------------------------------|---|---|---|-------------|-------|--|--|---|------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | (A) or      |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                  |
| Class A<br>Common<br>Stock           | 11/07/2008                              | 11/13/2008  | P                                       | 100         | A     | \$ 9   | 2,653,835  | I   | See Footnote (1) |
| Class A<br>Common<br>Stock           | 11/10/2008                              | 11/14/2008  | S                                       | 7           | D     | \$ 9.6   | 2,653,835  | I   | See Footnote (1) |
| Class A<br>Common<br>Stock           | 11/11/2008                              | 11/14/2008  | P                                       | 100         | A     | \$<br>9.57   | 2,653,835  | I   | See Footnote (1) |
| Class A<br>Common                    | 11/11/2008                              | 11/14/2008  | S                                       | 1           | D     | \$ 9.3   | 2,653,835  | I   | See<br>Footnote  |

**OMB APPROVAL** 

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January 31,

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| Stock                      |            |            |   |     |   |            |           |   | <u>(1)</u>       |
|----------------------------|------------|------------|---|-----|---|------------|-----------|---|------------------|
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | P | 100 | A | \$<br>8.46 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | P | 100 | A | \$ 8.8     | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | P | 100 | A | \$<br>8.63 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | P | 100 | A | \$<br>8.14 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | P | 100 | A | \$<br>8.12 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/13/2008 | 11/18/2008 | S | 200 | D | \$ 8.5     | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/18/2008 | 11/21/2008 | S | 200 | D | \$<br>7.76 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/19/2008 | 11/24/2008 | P | 100 | A | \$ 7.5     | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/19/2008 | 11/24/2008 | P | 100 | A | \$<br>7.71 | 2,653,835 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 5   | D | \$<br>5.87 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 41  | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 54  | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
|                            |            |            |   |     |   |            |           |   |                  |

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| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
|----------------------------|------------|------------|---|-----|---|------------|-----------|---|------------------|
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.85 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.87 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 11/28/2008 | 11/28/2008 | S | 100 | D | \$<br>5.89 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$<br>5.63 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$<br>5.65 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$<br>5.75 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$<br>5.61 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$<br>5.48 | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | P | 100 | A | \$ 5.6     | 2,653,026 | I | See Footnote (1) |
| Class A<br>Common<br>Stock | 12/03/2008 | 12/08/2008 | S | 100 | D | \$<br>5.73 | 2,653,026 | I | See Footnote (1) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) |                                   |                     | ate             | 7. Title<br>Amoun<br>Under | int of<br>lying<br>ities | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene |
|---|---|---|---|---------------------------------------|-----------------------------------|---------------------|-----------------|----------------------------|--------------------------|--|---------------------------------|
|   | Derivative<br>Security                      |   |   |                                       | Securities<br>Acquired            |                     |                 | (Instr.                    | 3 and 4)                 |  | Owne<br>Follo                   |
|   |   |   |   |                                       | (A) or<br>Disposed                |                     |                 |                            |                          |  | Repo<br>Trans                   |
|   |   |   |   |                                       | of (D)<br>(Instr. 3,<br>4, and 5) |                     |                 |                            |                          |  | (Instr                          |
|   |   |   |   |                                       | 4, and 3)                         |                     |                 |                            | Amount                   |  |                                 |
|   |   |   |   |                                       |                                   | Date<br>Exercisable | Expiration Date | Title                      | or<br>Number<br>of       |  |                                 |
|   |   |   |   | Code V                                | (A) (D)                           |                     |                 |                            | Shares                   |  |                                 |

## **Reporting Owners**

| Reporting Owner Name / Address        | Relationships |           |       |  |  |  |  |
|---------------------------------------|---------------|-----------|-------|--|--|--|--|
| · · · · · · · · · · · · · · · · · · · | Director      | 10% Owner | Other |  |  |  |  |
| JPMORGAN CHASE & CO                   |               |           |       |  |  |  |  |
| 270 PARK AVENUE                       |               | X         |       |  |  |  |  |
| NEW YORK, NY 10017                    |               |           |       |  |  |  |  |

## **Signatures**

Anthony Horan 07/22/2014

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All reported transactions were executed by wholly-owned subsidiaries of the Reporting Person.

#### **Remarks:**

The Reporting Person will send the Issuer a check for the amount of profit subject to short-swing recovery as a result of the reporting Person will send the Issuer a check for the amount of profit subject to short-swing recovery as a result of the report. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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