### Edgar Filing: INFORMATICA CORP - Form 3

INFORMATICA CORP

Form 3 May 30, 2014

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Stoner Jo

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/20/2014

INFORMATICA CORP [INFA]

(Check all applicable)

(give title below) (specify below)

EVP, Chief HR Officer

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

5. If Amendment, Date Original

C/O INFORMATICA CORPORATION, Â 2100 SEAPORT BOULEVARD

(Street)

(State)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

**REDWOOD** CITY, CAÂ 94063

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

Beneficially Owned (Instr. 4)

2. Amount of Securities

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

Direct (D)

(Instr. 5)

Â

or Indirect (I) (Instr. 5)

Common Stock

34,505 (1)

D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified stock option (right to buy)	(2)	05/01/2015	Common Stock	2,750	\$ 16.15	D	Â
Non-qualified stock option (right to buy)	(2)	11/30/2016	Common Stock	15,625	\$ 22.45	D	Â
Non-qualified stock option (right to buy)	03/01/2011(3)	02/01/2018	Common Stock	20,000	\$ 46.63	D	Â
Non-qualified stock option (right to buy)	03/01/2012(3)	02/01/2019	Common Stock	20,000	\$ 44.06	D	Â
Non-qualified stock option (right to buy)	09/01/2012(3)	08/01/2019	Common Stock	10,000	\$ 29.28	D	Â
Non-qualified stock option (right to buy)	03/01/2013(3)	02/01/2020	Common Stock	25,000	\$ 37.43	D	Â
Non-qualified stock option (right to buy)	03/03/2014(3)	02/01/2021	Common Stock	13,350	\$ 38.25	D	Â
Performance-based restricted stock units (4)	02/01/2014(5)	(6)	Common Stock	1,407	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2014(7)	(6)	Common Stock	4,219	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2015(8)	(6)	Common Stock	800	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2015(9)	(6)	Common Stock	1,200	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 0		10% Owner	Officer	Other		
Stoner Jo C/O INFORMATICA CORPORATION 2100 SEAPORT BOULEVARD REDWOOD CITY, CA 94063	Â	Â	EVP, Chief HR Officer	Â		
Signatures						
/s/ Edwin White, Attorney-in-fact for Jo Stoner	05/30/2014					
**Signature of Reporting Person		Date				

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.
- (2) All options subject to this grant are fully vested and exercisable.
- (3) These options vest at the rate of 1/48th of the shares subject to such options per month with the first month vesting as of the date listed.
- These securities are performance-based restricted stock units. Each unit represents the Reporting Person's right to receive one share of common stock upon achievement of certain performance criteria.
- This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.
- **(6)** Expiration is not applicable for performance-based restricted stock.
- This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.
- This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.
- This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.