INFORMATICA CORP Form 3 May 30, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and A Person <u>*</u> Stoner Jo	ddress of Rej	porting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol INFORMATICA CORP [INFA]						
(Last)	(First)	(Middle)	05/20/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O INFORMATICA CORPORATION, 2100 SEAPORT BOULEVARD (Street)				(Check all applicable) Director 10% Own X Officer Other (give title below) (specify below) EVP, Chief HR Officer		Owner • •	er 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting			
REDWOOD CITY, CA							Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	Non-Deriva	tive Securiti	es Be	s Beneficially Owned			
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•			
Common Sto	ock		34,505 <u>(1)</u>		D	Â				
Reminder: Repo			ach class of securities benefic	ially	SEC 1473 (7-02)				
Ĵ	Perso inform requir	ons who res nation cont red to resp	spond to the collection of ained in this form are not ond unless the form displ MB control number.	t						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 3. Title and Amount of 4. 5. 6. Nature of 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

Edgar Filing: INFORMATICA CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified stock option (right to buy)	(2)	05/01/2015	Common Stock	2,750	\$ 16.15	D	Â
Non-qualified stock option (right to buy)	(2)	11/30/2016	Common Stock	15,625	\$ 22.45	D	Â
Non-qualified stock option (right to buy)	03/01/2011(3)	02/01/2018	Common Stock	20,000	\$ 46.63	D	Â
Non-qualified stock option (right to buy)	03/01/2012(3)	02/01/2019	Common Stock	20,000	\$ 44.06	D	Â
Non-qualified stock option (right to buy)	09/01/2012 <u>(3)</u>	08/01/2019	Common Stock	10,000	\$ 29.28	D	Â
Non-qualified stock option (right to buy)	03/01/2013(3)	02/01/2020	Common Stock	25,000	\$ 37.43	D	Â
Non-qualified stock option (right to buy)	03/03/2014(3)	02/01/2021	Common Stock	13,350	\$ 38.25	D	Â
Performance-based restricted stock units (4)	02/01/2014(5)	(<u>6)</u>	Common Stock	1,407	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2014(7)	(6)	Common Stock	4,219	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2015(8)	(<u>6)</u>	Common Stock	800	\$ 0	D	Â
Performance-based restricted stock units (4)	02/01/2015 <u>(9)</u>	(<u>6)</u>	Common Stock	1,200	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Stoner Jo C/O INFORMATICA CORPORATION 2100 SEAPORT BOULEVARD REDWOOD CITY, CA 94063	Â	Â	EVP, Chief HR Officer	Â	
Signatures					
/s/ Edwin White, Attorney-in-fact for Jo Stoner	05/30/2014				
<u>**</u> Signature of Reporting Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.
- (2) All options subject to this grant are fully vested and exercisable.
- (3) These options vest at the rate of 1/48th of the shares subject to such options per month with the first month vesting as of the date listed.
- (4) These securities are performance-based restricted stock units. Each unit represents the Reporting Person's right to receive one share of common stock upon achievement of certain performance criteria.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original

- (5) on hon-OAAT operating meone. The actual FRSC award cancel will vest as to 174th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.
- (6) Expiration is not applicable for performance-based restricted stock.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based

(7) on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold, the actual number of shares may range from 50% to 125% of the 100% award amount.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on non-GAAP operating income. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original

(8) on hon-OAAT operating mome. The actual FRSO award canned win vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based

(9) on total revenues. The actual PRSU award earned will vest as to 1/4th of the shares on each anniversary of the original grant date. The number of shares reflected is at the target award amount. No PRSUs will be earned below the target level of performance. At or above the target level of performance, the actual number of shares may range from 70% to 125% of the target award amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.