

Five9, Inc.
Form 3
April 03, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
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response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Â Burkland Michael (Last) (First) (Middle) C/O FIVE9, INC. BISHOP RANCH 8, 4000,Â EXECUTIVE PARKWAY, SUITE 400 (Street) SAN RAMON,Â AZÂ 94583 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 04/03/2014	3. Issuer Name and Ticker or Trading Symbol Five9, Inc. [FIVN]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) CEO, President and Chairman	5. If Amendment, Date Original Filed(Month/Day/Year)
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6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	903,500	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (1)	04/29/2021	Common stock	230,660	\$ 0.52	D	Â
Stock Option (right to buy)	Â (2)	05/20/2018	Common stock	856,496	\$ 0.12	D	Â
Stock Option (right to buy)	Â (3)	01/25/2023	Common stock	185,308	\$ 4.84	D	Â
Stock Option (right to buy)	Â (4)	11/22/2023	Common stock	251,499	\$ 9.48	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Burkland Michael C/O FIVE9, INC. BISHOP RANCH 8, 4000 EXECUTIVE PARKWAY, SUITE 400 SAN RAMON,Â AZÂ 94583	Â X	Â	Â CEO, President and Chairman	Â

Signatures

/s/ Frances Dea,
attorney-in-fact

04/03/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option began vesting in 48 substantially equal monthly installments on the one-month anniversary of January 1, 2011.
- (2) Original option grant was for 1,981,496 shares. Option began vesting with respect to 25% of shares subject to the option one year after January 24, 2008, and with respect to the remaining 75% of the shares subject to the option in 36 equal monthly installments thereafter.
- (3) Option began vesting with respect to 25% of the shares subject to the option one year after January 25, 2013, and with respect to the remaining 75% of the shares subject to the option in 36 equal monthly installments thereafter.
- (4) Option began vesting in 48 substantially equal monthly installments on the one-month anniversary of November 22, 2013.

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Remarks:

ExhibitÂ List

ExhibitÂ 24Â -Â LimitedÂ PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.