

INFORMATICA CORP
Form 4
March 04, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ABBASI SOHAIB

(Last) (First) (Middle)

C/O INFORMATICA CORPORATION, 2100 SEAPORT BOULEVARD

(Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
INFORMATICA CORP [INFA]

3. Date of Earliest Transaction (Month/Day/Year)
02/28/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO, President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 02/28/2014 | | M | | 11,300 A \$ 5.69 | 329,289 | D |
| Common Stock | 02/28/2014 | | S | | 11,300 (1) D 41.4376 (2) | 317,989 | D |
| Common Stock | 03/03/2014 | | M | | 11,000 A \$ 5.69 | 328,989 | D |
| Common Stock | 03/03/2014 | | S | | 11,000 (1) D 40.4877 (3) | 317,989 | D |

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| | | | | | | | | |
|--------------|------------|---|-----------------------------|---|---|------------------------|---|------------|
| Common Stock | 03/04/2014 | M | 11,000 | A | \$ 5.69 | 328,989 | D | |
| Common Stock | 03/04/2014 | S | <u>11,000</u> <u>(1)</u> | D | <u>\$</u> <u>41.2407</u> <u>(4)</u> | 317,989 ⁽⁵⁾ | D | |
| Common Stock | | | | | | 234,984 | I | By GRAT |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title or Number of S |
| Non-qualified stock option (right to buy) | \$ 5.69 | 02/28/2014 | | M | 11,300 | 07/19/2008 07/19/2014 | Common Stock 11, |
| Non-qualified stock option (right to buy) | \$ 5.69 | 03/03/2014 | | M | 11,000 | 07/19/2008 07/19/2014 | Common Stock 11, |
| Non-qualified stock option (right to buy) | \$ 5.69 | 03/04/2014 | | M | 11,000 | 07/19/2008 07/19/2014 | Common Stock 11, |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| ABBASI SOHAIB C/O INFORMATICA CORPORATION 2100 SEAPORT BOULEVARD REDWOOD CITY, CA 94063 | X | | CEO, President | |

Signatures

/s/Edwin White Attorney in fact for Sohaib
Abbasi

03/04/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 11/12/2013.
- (2) All trades occurred within the price range of \$41.17 and \$41.62. The reported price is the weighted average for trades within this range.
- (3) All trades occurred within the price range of \$40.15 and \$41.27. The reported price is the weighted average for trades within this range.
- (4) All trades occurred within the price range of \$40.965 and \$41.47. The reported price is the weighted average for trades within this range.
- (5) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.