Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4/A

INTERCONTINENTALEXCHANGE INC

Form 4/A

November 13, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

Estimated average

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

`	• /								
1. Name and Address of Reporting Person * Sprecher Jeffrey C			Symbol	CONTIN	nd Ticker or Trading NENTALEXCHANGE	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 2100 RIVEI PARKWAY	(Middle)	3. Date of (Month/D) 12/22/2	Day/Year)	Fransaction	_X_ Director 10% Owner _X_ Officer (give title Other (specification) below) Chief Executive Officer				
(Street) ATLANTA, GA 30328				nth/Day/Ye	Oate Original ar)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non-	-Derivative Securities Acqu	ired, Disposed of	, or Beneficiall	y Owne	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)			3. Transact Code	4. Securities Acquired ion(A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Natu Indirect Benefic	

		Table	c 1 - 14011-D	ciivative	Secui	ines Acqui	n cu, Disposcu oi,	of Deficition	y Owneu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	12/28/2006		F	67 <u>(1)</u>	D	\$ 109	10,038	I	By spouse
Common Stock	05/21/2012		F	221 (2)	D	\$ 125.09	23,910	I	By spouse
Common Stock	05/20/2013		F	227 (3)	D	\$ 176.06	24,086	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

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(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 Title of Derivative 	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4.	5. Number on Derivative	6. Date Exerc Expiration D		7. Title and A Underlying S	
Security	or Exercise	(Monan Buy, Tear)	any	Code	Securities	(Month/Day/		(Instr. 3 and	
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	Acquired (A) or				(,
	Security				Disposed of (D)				
					(Instr. 3, 4, and 5)				
						Date Exercisable	Expiration Date	Title	Amount or Number of
				Code V	(A) (D)				Shares
Employee Stock								G	
Option (right to	\$ 104.23	12/22/2006		A	6,050	<u>(5)</u>	12/22/2016	Stock Stock	6,050

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Sprecher Jeffrey C 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328	X		Chief Executive Officer				

Signatures

buy) (4)

/s/ Andrew J. Surdykowski,
Attorney-in-fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This amendment has been filed to correct the amount of securities reported on the original Form 4 as beneficially owned following the reported transactions in Column 5 of Table 1. This amount was not included and incorrectly reported on subsequent Forms 4 filed by the reporting person.
- (2) Represents shares of Common Stock underlying vested restricted stock units that were originally withheld on May 21, 2012 to satisfy payment of the Issuer's tax withholding obligation, but for which a Form 4 was not filed at the time of the withholding.
- (3) Represents shares of Common Stock underlying vested restricted stock units that were originally withheld on May 20, 2013 to satisfy payment of the Issuer's tax withholding obligation, but for which a Form 4 was not filed at the time of the withholding.

Reporting Owners 2

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- (4) Represents options that were granted on December 22, 2006, but for which a Form 4 was not filed at the time of the grant.
- (5) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.