

SCHWAUSCH SCOTT
Form 3
May 21, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â SCHWAUSCH SCOTT

(Last) (First) (Middle)

2105 CITYWEST
BOULEVARD,Â SUITE 400

(Street)

HOUSTON,Â TXÂ 77042-2839

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/14/2013

3. Issuer Name **and** Ticker or Trading Symbol
ION GEOPHYSICAL CORP [IO]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP & Corp Controller

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

10,249

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	12/01/2013 ⁽¹⁾	12/01/2022	Common Stock	12,000	\$ 5.96	D Â
Employee Stock Option (right to buy)	12/01/2012 ⁽¹⁾	12/01/2021	Common Stock	9,600	\$ 5.81	D Â
Employee Stock Option (right to buy)	12/01/2011 ⁽¹⁾	12/01/2020	Common Stock	8,000	\$ 7.19	D Â
Employee Stock Option (right to buy)	12/01/2010 ⁽¹⁾	12/01/2019	Common Stock	2,000	\$ 5.44	D Â
Employee Stock Option (right to buy)	12/01/2009 ⁽¹⁾	12/01/2018	Common Stock	2,000	\$ 3	D Â
Employee Stock Option (right to buy)	12/01/2008 ⁽¹⁾	12/01/2017	Common Stock	2,000	\$ 15.43	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHWAUSCH SCOTT 2105 CITYWEST BOULEVARD SUITE 400 HOUSTON, TX 77042-2839	Â	Â	Â VP & Corp Controller	Â

Signatures

Rebecca F. Sacco,
attorney-in-fact

05/21/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option became/becomes exercisable in four equal annual installments beginning on the date specified in Column 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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