**Thomas Brandon** Form 4/A March 05, 2013

## FORM 4

### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Thomas Brandon			Symbol						Issuer				
			ENVESTNET, INC. [ENV]						(Check all applicable)				
(Last)	(First)	(Mic	ddle)	3. Date of	ate of Earliest Transaction					(Check all applicable)			
25 E WACKED DDIVE CHITE			rr	(Month/Day/Year)						Director 10% Owner X Officer (give title Other (specify			
35 E. WACKER DRIVE, SUITE 2400		02/28/2013						below) below)					
2400										Chief	Investment Offi	cer	
	(Street)			4. If Amer			Original			6. Individual or	Joint/Group Fili	ng(Check	
				Filed(Month/Day/Year) 03/04/2013						Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
CHICAGO, IL 60601				03/0/1/2013									
(City) (State) (Zip)				Table I - Non-Derivative Securities Acc						quired, Disposed of, or Beneficially Owned			
1.Title of	2. Transaction				3.		4. Securi			5. Amount of	6. Ownership	7. Nature of	
Security	· · · · · · · · · · · · · · · · · · ·			on Date, if	Date, if TransactionAcquired (A) or Code Disposed of (D)					Securities Deposit signly	Form: Direct	Indirect Beneficial	
(Instr. 3)			any (Month/	Day/Year)	(Instr. 8)		(Instr. 3,			Beneficially Owned	(D) or Indirect (I)	Ownership	
				•			, , ,		Following	(Instr. 4)	(Instr. 4)		
								(A)		Reported Transaction(s)			
					Code '	V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common					Code	v	Amount	(D)	THE	12.020	·	see	
Stock										13,938	I	footnote (3)	
Common	00/00/00/10				<b>3. c</b> (1)		1.062		Φ.0	220 647	_		
Stock	02/28/2013				M <u>(1)</u>		1,063	A	\$ 0	228,647	D		
Common Stock	02/28/2013				F		401 (2)	D	\$0	228,246	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Award	<u>(4)</u>	02/28/2013		M		1,063	<u>(5)</u>	<u>(5)</u>	Common Stock	1,063
Employee Stock Option (Right to Buy)	\$ 15.34	02/28/2013		A	8,000		<u>(6)</u>	02/28/2023	Common Stock	8,000
Restricted Stock Award	<u>(7)</u>	02/28/2013		A	3,120		(8)	02/28/2016	Common Stock	3,120

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Thomas Brandon 35 E. WACKER DRIVE SUITE 2400 CHICAGO, IL 60601

Chief Investment Officer

## **Signatures**

/s/ Shelly O'Brien, by power of attorney for Brandon

Thomas 03/04/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted stock awards into common stock (the "Converted Common Stock #1"). On February 29, 2012, the reporting person was granted 3,189 restricted stock awards, of which 1/3 of the shares subject to the restricted stock units vested on February 28, 2013. Such restricted stock units were previously reported in Table II on a Form 4 filed with the Securities and

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Exchange Commission on March 2, 2012.

- The reporting person is reporting the withholding by Envestnet, Inc. of 401 shares of common stock to satisfy the reporting person's tax (2) withholding obligations in connection with the delivery of the Converted Common Stock #1 to the reporting person on February 28, 2013.
- (3) Represents shares held by a trust in which Mr. Thomas is the trustee.
- (4) Each restricted stock award is the economic equivalent of one share of Envestnet, Inc. common stock.
- On February 29, 2012, the reporting person was granted 3,189 restricted stock units, of which 1/3 of the shares subject to the restricted stock award vested on February 28, 2013. The common stock into which such vested restricted stock units converted on February 28, 2013 is reported in Table I on this Form 4. The remaining unvested restricted stock units will continue to vest as to 1/3 of the original number of shares subject to the restricted stock awards on each succeeding February 28th until fully vested.
- (6) 8000 options were granted to the Reporting Person on 2/28/2013. Such options vest in three installments beginning on the first anniversary of the date of grant (2/28/2014).
- (7) Each restricted stock award represents the contingent right to receive one share of common stock upon vesting of the unit.
- (8) This restricted stock award vests in three installments beginning on the first anniversary of the date of the grant of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.