Edgar Filing: Keran Patrick L - Form 4

Keran Patrick 1 Form 4	Ĺ									
January 03, 20	13									
FORM	4 UNITED	STATES	SECU	RITIFS /	AND FX	CHANGE	E COMMISSIO	NT	PPROVAL	
		STATES		shington				N OMB Number:	3235-0287	
Check this l if no longer subject to Section 16.		AENT OF	F CHAI	Expires: Estimated burden hou	-					
Form 4 or Form 5 obligations may continu <i>See</i> Instruct 1(b).	section 17	a) of the H	Public U	Jtility Hol	ding Cor		nge Act of 1934, of 1935 or Secti 940	response	•	
(Print or Type Res	sponses)									
1. Name and Address of Reporting Person <u>*</u> Keran Patrick L			2. Issuer Name and Ticker or Trading Symbol ADVENTRX				5. Relationship of Reporting Person(s) to Issuer			
					FICALS	INC [ANX	(Cho	eck all applicabl	e)	
(Last) 12390 EL CA 150	(First) (Middle) SUITE		of Earliest T Day/Year) 2013	ransaction		Director X Officer (gi below) Pt		% Owner her (specify	
SAN DIEGO,	(Street)			endment, D onth/Day/Yea	-	ıl			erson	
(City)	(State)	(Zip)				~	Person			
							Acquired, Disposed		•	
	Transaction Date Ionth/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit mAcquired Disposed (Instr. 3, 4) Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report	t on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
					inforn requi	nation con red to resp ays a curre	spond to the collect tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	Beneficially Owners	d		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year	Code) (Instr.	8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/	/Year)	(Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 0.59	01/02/2013		A		138,650		<u>(1)</u>	01/02/2023	Common Stock	138,65
Reporting Owners											
Reporting Owner Name / Address			Relationships Director 10% Owner Officer					Other			
Keran Patri 12390 EL (AL, SUITE 150		o Owner		President &	k CC				

Signatures

SAN DIEGO, CA 92130

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This option has a January 2, 2013 vesting commencement date and vests and becomes exercisable in 48 substantially equal monthly

(1) installments over the 48-month period following the vesting commencement date, subject to the reporting person's continued service with the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.