### Edgar Filing: GREENWALD SUSAN C - Form 4/A

|   | LD SUSAN C                              |   |   |   |            |          |  |   |   |  |  |  |
|---|---|---|---|---|------------|----------|--|---|---|--|--|--|
| Form 4/A  | 2                                       |   |   |   |            |          |  |   |   |  |  |  |
| May 03, 201   |   |   |   |   |            |          |  |   |   |  |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION   |   |   |   |   |            |          |  | 3 APPROVAL<br>3235-0287   |   |  |  |  |
| Check thi   | is box                                  | Washington, D.C. 20549  |   |   |            |          |  |   |   |  |  |  |
| if no long<br>subject to<br>Section 1<br>Form 4 or  | 6.<br>r                                 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |   |   |            |          |  |   |   | Expires: 2005<br>Estimated average<br>burden hours per<br>response 0.5 |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exch<br>Section 17(a) of the Public Utility Holding Company Act<br>30(h) of the Investment Company Act of |   |   |   |   |            | y Act    | of 1935 or Section   |   |   |  |  |  |
| (Print or Type F  | Responses)                              |   |   |   |            |          |  |   |   |  |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>GREENWALD SUSAN C   |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol   |   |            |          | ng   | 5. Relationship of Reporting Person(s) to Issuer  |   |  |  |  |
| (Leat)  | (Einst)                                 |   | HomeStreet, Inc. [HMST]   |   |            |          |  | (Check all applicable)  |   |  |  |  |
| (M  |   |   |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>02/10/2012 |            |          |  |   | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>SVP - Single Fam Op Director |  |  |  |
| Filed(M   |   |   |   | Amendment, Date Original<br>Month/Day/Year)<br>7/2012             |            |          |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |  |  |  |
| SEATTLE,  | WA 98101                                |   |   |   |            |          |  | Form filed by<br>Person   | More than One   | Reporting  |  |  |
| (City)  | (State)                                 | (Zip)   | Tabl  | e I - Non-D   | erivative  | Secur    | ities A  | cquired, Disposed   | of, or Benefic  | ially Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | (Month/Day/Year) Execution Date, if any |   | 3. 4. Securities<br>TransactionAcquired (A) or<br>Code Disposed of (D)<br>(Instr. 8) (Instr. 3, 4 and 5)<br>(A)<br>or |   |            |          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                                    |   |  |  |  |
| Common  | 02/10/2012                              |   |   | Code V<br>A   | 1,130      | (D)<br>A | Price<br>\$ 0  | (Instr. 3 and 4)<br>1,569.6   | D   |  |  |  |
| Stock<br>Common<br>Stock  |   |   |   |   | <u>(1)</u> |          | ŢŬ   | 6,246.073   | I <u>(2)</u>  | Employee<br>Stock<br>Ownership<br>Plan                                 |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8<br>I<br>S<br>( |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 44   | 02/10/2012                              |   | A                                     | 3,390   | (3)  | 02/10/2022         | Common<br>Stock   | 3,390                                  |                  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                              |       |  |  |  |
|--|---------------|-----------|------------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                      | Other |  |  |  |
| GREENWALD SUSAN C<br>601 UNION STREET, SUITE 2000<br>SEATTLE, WA 98101 |               |           | SVP - Single Fam Op Director |       |  |  |  |
| Signatures   |               |           |                              |       |  |  |  |
| lal Cadfrey D. Evena attarnay in fact                                  | for Succe     | C C       |                              |       |  |  |  |

/s/ Godfrey B. Evans, attorney in fact for Susan C. Greenwald

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award subject to vesting based upon an increase in the price of the common stock in comparison to the price at which common stock was issued in the company's initial public offering.
- (2) Participants in HomeStreet Inc.'s Employee Stock Ownership Plan, or ESOP, have the authority to direct voting of shares they hold through the ESOP only in certain circumstances.
- (3) The option vests in three equal installments on February 10, 2013, 2014 and 2015.

#### **Remarks:**

This hereby amends and restates in its entirety the Form 4 to which this filing is related for the sole purpose of correcting the c on which the reported awards were granted.

05/03/2012

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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