MCGIMPSEY THOMAS O

Form 3/A August 10, 2011

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement ADVANCED ENERGY INDUSTRIES INC [AEIS] MCGIMPSEY THOMAS O (Month/Day/Year) 08/10/2011 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1625 SHARP POINT DRIVE 08/08/2011 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner _X_ Form filed by One Reporting _X__ Officer Other (give title below) (specify below) FORT COLLINS, Â COÂ 80525 Form filed by More than One EVP Gen Counsel & Corp Sec Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock 7,669 (1) D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Securities U	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	04/24/2010	04/24/2019	Common Stock	5,250 (2)	\$ 7.95	D	Â
Employee Stock Option (right to buy)	07/21/2010	07/21/2019	Common Stock	5,250 (2)	\$ 11.21	D	Â
Employee Stock Option (right to buy)	10/27/2010	10/27/2019	Common Stock	5,250 (2)	\$ 12.77	D	Â
Employee Stock Option (right to buy)	02/16/2011	02/16/2020	Common Stock	10,500 (2)	\$ 15.65	D	Â
Employee Stock Option (right to buy)	04/20/2011	04/20/2020	Common Stock	5,250 (2)	\$ 16.25	D	Â
Employee Stock Option (right to buy)	07/20/2011	07/20/2020	Common Stock	5,250 (2)	\$ 13.85	D	Â
Employee Stock Option (right to buy)	10/26/2011	10/26/2020	Common Stock	5,250 (2)	\$ 14.5	D	Â
Employee Stock Option (right to buy)	02/15/2012	02/15/2021	Common Stock	6,250 (2)	\$ 14.52	D	Â
Employee Stock Option (right to buy)	04/28/2012	04/28/2021	Common Stock	6,250 (2)	\$ 14.21	D	Â
Employee Stock Option (right to buy)	07/22/2012	07/22/2021	Common Stock	6,250 (2)	\$ 12.44	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
Fg	Director	10% Owner	Officer	Other		
MCGIMPSEY THOMAS O 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	Â	Â	EVP Gen Counsel & Corp Sec	Â		

Signatures

/s/ Thomas O.

McGimpsey

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents 7,451 shares of Restricted Stock units (RSUs) and 218 shares of Employee Stock Purchase Plan Shares. The RSUs vest in four equal annual installments beginning on the first anniversary of the grant date. Mr. McGimpsey has been granted the following: 4/24/2009

Reporting Owners 2

^{(1) 750} shares, 7/21/2009 750 shares, 10/27/2009 750 shares, 2/16/2010 1,500 shares, 4/20/2010 750 shares, 7/20/2010 750 shares, 10/26/2010 750 shares, 2/15/2011 1,000 shares, 4/28/2011 1,000 shares, and 7/22/2011 1,000 shares. As of 8/8/2011, 1,135 shares of the RSUs are sellable.

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(2) Represents Employee Stock Options granted (right to buy). Each grant will vest in four equal installments beginning on the anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.