Edgar Filing: LADENBURG THALMANN FINANCIAL SERVICES INC - Form 4

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LADENBUR Form 4	G THALMA	NN FINAN	CIAL SE	RVICES	INC						
October 04, 2	2010										
FORM A								OMB AI	PPROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287		
Check this box if no longer								Expires:	January 31, 2005		
	subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per		
Form 4 or Form 5		oursuant to	Section 16	$\delta(a)$ of the	- Securiti	ec Ev	chang	e Act of 1934,	response	0.5	
obligation may conti	nue. Section	7(a) of the		ility Hold	ling Com	pany	Act of	f 1935 or Section	n		
<i>See</i> Instru 1(b).	ction	50(II)	of the fill	vestment	Company	Act	01 194	ŧŪ			
(Print or Type R	esponses)										
EIDE ROBERT J Symbol LADE			Symbol LADEN	DENBURG THALMANN				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
FINA				NANCIAL SERVICES INC [LTS]							
(Last)	(First)	(Middle)		Earliest Tra	ansaction			X Director Officer (give		Owner er (specify	
C/O AEGIS SEVENTH A	CAPITAL CO AVENUE	ORP, 810	(Month/Da 10/01/20	-				below)	below)		
	(Street)		4. If Amer	endment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mont			nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK	K, NY 10019							Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction 1 (Month/Day/Ye	ear) Executio any		3. Transactio Code (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price	(msu. 5 anu 4)			
Common Stock	10/01/2010			P <u>(1)</u>	25,000	А	\$ 1	99,386	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addro	255	Relationships						
	Director	10% Owner	Officer	Other				
EIDE ROBERT J C/O AEGIS CAPITAL COR 810 SEVENTH AVENUE NEW YORK, NY 10019	RP X							
Signatures								
/s/ Robert J. Eide	10/01/2010							
**C:	Dete							

**Signature of Reporting Person

Date

- **Explanation of Responses:**
- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Following shareholder approval at the Ladenburg Thalmann Financial Services Inc. 2010 Annual Meeting, the securities were acquired (1) on October 1, 2010 pursuant to a Stock Purchase Agreement dated as of May 28, 2010 between the reporting person and Ladenburg
- Thalmann Financial Services Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.