

JONES ROBERT R III

Form 5/A

February 17, 2010

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
JONES ROBERT R III2. Issuer Name and Ticker or Trading
Symbol
UNITED BANCORPORATION OF
ALABAMA INC [UBAB]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2009☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President & CEO

P.O. BOX 8

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)
02/16/2010

6. Individual or Joint/Group Reporting

(check applicable line)

ATMORE, AL 36502

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
CI A Common Stock	07/15/2009	Â	J4 ⁽¹⁾	408 A \$ 0 (2)	58,043.8579	D	Â
CI A Common Stock	01/15/2010	Â	J4 ⁽¹⁾	412 A \$ 0 (2)	58,043.8579	D	Â
CI A Common Stock	07/15/2009	Â	J4 ⁽¹⁾	93 A \$ 0 (2)	58,043.8579	D ⁽³⁾	Â

Edgar Filing: JONES ROBERT R III - Form 5/A

Cl A Common Stock	01/15/2010	Â	J4 ⁽¹⁾	94	A	\$ 0 (2)	58,043.8579	D ⁽³⁾	Â
Cl A Common Stock	07/15/2009	Â	J4 ⁽¹⁾	3.12	A	\$ 0 (2)	58,043.8579	I	By spouse and child.
Cl A Common Stock	01/15/2010	Â	J4 ⁽¹⁾	3.15	A	\$ 0 (2)	58,043.8579	I	By spouse and child.
Cl A Common Stock	07/15/2009	Â	J4 ⁽¹⁾	36	A	\$ 0 (2)	58,043.8579	D ⁽⁴⁾	Â
Cl A Common Stock	01/15/2010	Â	J4 ⁽¹⁾	37	A	\$ 0 (2)	58,043.8579	D	Â
Cl A Common Stock	07/15/2009	Â	J4 ⁽¹⁾	24	A	\$ 0 (2)	58,043.8579	I ⁽⁴⁾	By spouse.
Cl A Common Stock	01/15/2010	Â	J4 ⁽¹⁾	25	A	\$ 0 (2)	58,043.8579	I ⁽⁴⁾	By spouse.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Statutory Stock Option	\$ 15.65	Â	Â	Â	Â Â	12/22/2004 12/22/2010	Cl A Common Stock	8,160

Incentive Statutory Stock Option	\$ 16.25	Â	Â	Â	Â	Â	12/22/2005	12/22/2011	Cl A Common Stock	8,160
Incentive Statutory Stock Option	\$ 16.25	Â	Â	Â	Â	Â	12/22/2006	12/22/2012	Cl A Common Stock	8,160

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JONES ROBERT R III P.O. BOX 8 ATMORE,Â ALÂ 36502	Â X	Â	Â President & CEO	Â

Signatures

Robert R. Jones,
III 02/17/2010

__Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Semiannual stock dividend.
- (2) A stock dividend of 1.0% was distributed. Fractional shares were paid the equivalent to \$14.85 per share in cash.
- (3) Stock held JTEN with spouse.
- (4) Stock held with United Bank as custodian.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.