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ADVANCED ENERGY INDUSTRIES INC Form 4 October 21, 2009 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SCHATZ DOUGLAS S & SCHATZ Issuer Symbol JILL E FAMILY TRUST ADVANCED ENERGY (Check all applicable) **INDUSTRIES INC [AEIS]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director X__ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) PO BOX 481 10/19/2009 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting FORT COLLINS, CO 80522 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired (A) 6. 7. Nature of 3. 5. Amount of Securities Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) Owned (Instr. 8) Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 7,713,243 (1) 10/19/2009 S 500 D \$13.67 D (2) (3) Stock Common 7,712,943 (1) \$ 10/19/2009 S 300 D D Stock 13.6862 (2) (3) Common 7,712,344 (1) D 10/19/2009 S 599 D \$ 13.69 (2) (3) Stock Common \$ 7,711,344 (1) 10/19/2009 S 1.000 D D Stock 13.6962 (2) (3)Common 7,710,943 (1) 10/19/2009 S 401 D D \$ 13.7 (2) (3) Stock

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Common Stock	10/19/2009	S	731	D	\$ 13.7067	7,710,212 (1) (2) (3) (3) (3) (1) (1) (1) (1) (1) (1) (1) (2) (1) (1) (1) (1) (2) (2) (2) (2) (2) (2) (2) (2	D
Common Stock	10/19/2009	S	500	D	\$ 13.7093	7,709,712 (1) (2) (3) (3) (3) (1) (1) (1) (1) (1) (1) (1) (2) (1) (1) (1) (1) (1) (1) (1) (1)	D
Common Stock	10/19/2009	S	365	D	\$ 13.71	7,709,347 (1) (2) (3)	D
Common Stock	10/19/2009	S	2	D	\$ 13.7166	7,709,345 (1) (2) (3)	D
Common Stock	10/19/2009	S	1,500	D	\$ 13.72	7,707,845 <u>(1)</u> (2) (3)	D
Common Stock	10/19/2009	S	200	D	\$ 13.7201	7,707,645 (1) (2) (3)	D
Common Stock	10/19/2009	S	300	D	\$ 13.7262	7,707,345 (1) (2) (3)	D
Common Stock	10/19/2009	S	1,100	D	\$ 13.73	7,706,245 (1) (2) (3)	D
Common Stock	10/19/2009	S	200	D	\$ 13.7301	7,706,045 (1) (2) (3)	D
Common Stock	10/19/2009	S	800	D	\$ 13.74	7,705,245 (1) (2) (3)	D
Common Stock	10/19/2009	S	100	D	\$ 13.7401	7,705,145 (1) (2) (3)	D
Common Stock	10/19/2009	S	500	D	\$ 13.7467	7,704,645 (1) (2) (3)	D
Common Stock	10/19/2009	S	1,000	D	\$ 13.749	7,703,645 <u>(1)</u> (2) (3)	D
Common Stock	10/19/2009	S	600	D	\$ 13.75	7,703,045 <u>(1)</u> (2) (3)	D
Common Stock	10/19/2009	S	700	D	\$ 13.7504	7,702,345 (1) (2) (3) (3) (3)	D
Common Stock	10/19/2009	S	504	D	\$ 13.76	7,701,841 (1) (2) (3)	D
Common Stock	10/19/2009	S	96	D	\$ 13.77	7,701,745 (1) (2) (3) (3) (3)	D
Common Stock	10/19/2009	S	100	D	\$ 13.78	7,701,645 (1) (2) (3)	D
Common Stock	10/19/2009	S	100	D	\$ 13.7827	7,701,545 (1) (2) (3) (3) (3)	D
Common Stock	10/19/2009	S	500	D	\$ 13.79	7,701,045 (1) (2) (3) (3)	D
	10/19/2009	S	200	D	\$ 13.8		D

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Common Stock						7,700,845 <u>(1)</u> (2) (3)
Common Stock	10/19/2009	S	200	D	\$ 13.82	$\begin{array}{c} 7,700,645 \underline{(1)} \\ \underline{(2)} \underline{(3)} \end{array} D \end{array}$
Common Stock	10/19/2009	S	1,400	D	\$ 13.87	$\begin{array}{c} 7,699,245 \underline{(1)} \\ \underline{(2)} \underline{(3)} \end{array} D$
Common Stock	10/19/2009	S	100	D	\$ 13.8707	$\begin{array}{c} 7,699,145 \underline{^{(1)}}\\ \underline{^{(2)}} \underline{^{(3)}} \end{array} D$
Common Stock	10/19/2009	S	200	D	\$ 13.88	$\begin{array}{c} 7,698,945 \ \underline{^{(1)}}\\ \underline{^{(2)}} \ \underline{^{(3)}} \end{array} D$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST PO BOX 481 FORT COLLINS, CO 80522		Х					
SCHATZ DOUGLAS S P.O. BOX 481 FORT COLLINS, CO 80522	Х						
		Х					

Schatz Jill E P.O. BOX 481 FORT COLLINS, CO 80522

Signatures

/S/ Thomas O. McGimpsey (Attorney-in-Fact)

**Signature of Reporting Person

10/21/2009

_____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to Rule 10b5-1 trading plan adopted by the reporting persons on May 28, 2009.
- (2) These shares are owned directly by Douglas S. Schatz & Jill E. Schatz Family Trust, a ten percent owner of the issuer, and indirectly by Douglas S. Schatz and Jill E. Schatz, co-trustees of the trust.
- (3) Includes 26,350 shares of restricted stock units held directly by Douglas S. Schatz, who is a director of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.