#### Edgar Filing: HANMI FINANCIAL CORP - Form 3

#### HANMI FINANCIAL CORP

Form 3

September 03, 2009

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HANMI FINANCIAL CORP [HAFC] Kwak Charles C (Month/Day/Year) 07/01/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3660 WILSHIRE BLVD. PH-A (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner \_X\_ Director \_X\_ Form filed by One Reporting Officer \_ Other Person (give title below) (specify below) LOS ANGELES, CAÂ 90010 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 110,548 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

currently valid OMB control number.

2. Date Exercisable and		3. Title and Amount of		4.	5.	<ol><li>Nature of Indirect</li></ol>
(Instr. 4) Expiration Date (Month/Day/Year)		Securities U	Inderlying	Conversion	Ownership	Beneficial Ownership
		Derivative Security		or Exercise	Form of	(Instr. 5)
		(Instr. 4)		Price of	Derivative	
Data		Title	Amount or Number of	Derivative	Security:	
				Security	Direct (D)	
LACICISAUIC					or Indirect	
	Expiration D (Month/Day/Year)  Date	Expiration Date (Month/Day/Year)  Date Expiration	Expiration Date (Month/Day/Year) Derivative (Instr. 4)  Date Expiration Title	Expiration Date (Month/Day/Year)  Date  Expiration Date Derivative Security (Instr. 4)  Expiration Date Amount or	Expiration Date (Month/Day/Year) Securities Underlying Derivative Security (Instr. 4) Price of Date Expiration Title Amount or Security Security	Expiration Date Securities Underlying Ownership  (Month/Day/Year) Derivative Security or Exercise Form of  (Instr. 4) Price of Derivative  Date Expiration Title Amount or  Exercisable Date Number of

Shares

(I) (Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kwak Charles C

3660 WILSHIRE BLVD. PH-A X LOS ANGELES, CAÂ 90010

### **Signatures**

/s/Charles C Kwak 09/03/2009

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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