Edgar Filing: RHO JOSEPH K - Form 4

RHO JOSEP Form 4	НК										
April 10, 200	9										
FORM	1									PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti	er STATE 5. Filed pu ^s Section 17	rsuant to S (a) of the I	Section 10 Public Ut	SECUR 6(a) of the	ITIES Securitie ing Com	es Ex pany	chang Act of	NERSHIP OF e Act of 1934, f 1935 or Sectio	Expires: Estimated a burden hou response	rs per	
See Instru 1(b).	ction	50(11)	of the m	vestment	company	Act	01 19-	ŧU			
(Print or Type R	esponses)										
RHO JOSEPH K Symbol				r Name and Ticker or Trading I FINANCIAL CORP				5. Relationship of Reporting Person(s) to Issuer			
			HANMI [HAFC]		TAL CO	КР		(Chec	ck all applicable	2)	
(Last) 3660 WILSH BOULEVAH		(Middle) [SE "A"	3. Date of (Month/D 04/08/20	-	ansaction			X Director Officer (give below)		o Owner er (specify	
	(Street)			ndment, Dat	-			6. Individual or Jo	oint/Group Filir	1g(Check	
LOS ANGE	LES, CA 90010)	Filed(Mon	th/Day/Year)				•	One Reporting Pe More than One Re		
(City)	(State)	(Zip)						Person			
-		-					-	uired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	c) Execution any	on Date, if	3. Transactio Code (Instr. 8)	n(A) or Dis (D)	posed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Comment				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
$\begin{array}{c} \text{Common} \\ \text{Stock Grant} \\ \underline{(1)} \end{array}$	04/08/2009			А	15,000	А	\$0	1,609,838	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option	\$ 1.35	04/08/2009		А	20,000	04/08/2010(2)	04/07/2019	Common Stock	20,000

Reporting Owners

Reporting Owner Name / Add	ress				
http://ing.com/internance/indu		Director	10% Owner	Officer	Other
RHO JOSEPH K 3660 WILSHIRE BOULEVA PENTHOUSE "A" LOS ANGELES, CA 90010	ARD	X			
Signatures					
/s/Joseph K. Rho	04/10/20	009			
**Signature of Reporting Person	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A stock bonus award with vesting over five years from the grant date, April 8, 2009.
- (2) 20% of stock options will be vested on each anniversary date for the next five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.