

TD AMERITRADE HOLDING CORP

Form 3

October 12, 2007

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â RICKETTS J PETER

(Last) (First) (Middle)

4211 SOUTH 102ND ST.

(Street)

OMAHA,Â NEÂ 68127

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

10/02/2007

3. Issuer Name **and** Ticker or Trading Symbol

TD AMERITRADE HOLDING CORP [AMTD]

4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

542,465

D

Â

Common Stock

250,000

I

By annuity trust <sup>(1)</sup>

Common Stock

39,890

I

By his children <sup>(2)</sup>

Common Stock

1,508,303

I

By annuity trust <sup>(3)</sup>Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date3. Title and Amount of  
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect  
Beneficial Ownership

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| (Month/Day/Year)                    |                    | Derivative Security<br>(Instr. 4) |                                  | or Exercise<br>Price of<br>Derivative<br>Security | Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | (Instr. 5) |
|-------------------------------------|--------------------|-----------------------------------|----------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------|------------|
| Date<br>Exercisable                 | Expiration<br>Date | Title                             | Amount or<br>Number of<br>Shares |                                                   |                                                                                      |            |
| Call Option (obligation to<br>sell) | Â (4) 11/16/2007   | Common<br>Stock                   | 50,000                           | \$ 20                                             | D                                                                                    | Â          |
| Call Option (obligation to<br>sell) | Â (4) 02/15/2008   | Common<br>Stock                   | 50,000                           | \$ 20                                             | D                                                                                    | Â          |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |         |       |
|-------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                             | Director      | 10% Owner | Officer | Other |
| RICKETTS J PETER<br>4211 SOUTH 102ND ST.<br>OMAHA, NE 68127 | Â X           | Â         | Â       | Â     |

## Signatures

/s/ J. Peter  
Ricketts  
10/12/2007

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held by an annuity trust, for which Mr. Ricketts is the grantor and a beneficiary.
- (2) Shares are held by trusts created for Mr. Ricketts' children.
- (3) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (4) Currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.