Edgar Filing: PLUMAS BANCORP - Form 4

DI LINAAC DANCODE

| Form 4 November 2 | | | | | | | | | | | |
|---|---|-------------|--|--|--|---|---|---|--|------------------------|--|
| FORM | | | | | | | | | OMB A | PPROVAL | |
| | UNITE | D STATES | | ITIES A hington, | | | NGE (| COMMISSION | OMB Number: | 3235-0287 | |
| Check th if no lon subject t Section Form 4 o Form 5 | F CHANGES IN BENEFICIAL OWN SECURITIES | | | | | | Expires: January 20 Estimated average burden hours per response | | | | |
| obligation may con <i>See</i> Instr 1(b). | tinue. Section | 7(a) of the | Public Ut | | ing Com | ipany | Act of | e Act of 1934, f 1935 or Sectio 40 | n | | |
| (Print or Type | Responses) | | | | | | | | | | |
| McClintock Robert J Sym | | | Symbol | 2. Issuer Name and Ticker or Trading /mbol LUMAS BANCORP [PLBC] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Chec | | | | | | | | |
| P.O. BOX (BLVD | 5179, 305 W. L | AKE | (Month/D 11/23/20 | - | | | | X_ Director Officer (give below) | | b Owner er (specify | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| TAHOE CI | TY, CA 96145 | | | | | | | Form filed by M Person | Iore than One Ro | eporting | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ities Acc | uired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | any | | | | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common Stock | 11/23/2011 | | | Р | 200 | A | \$ 2.29 | 46,468 | I <u>(1)</u> | Various (1) | |
| Common Stock | 11/23/2011 | | | Р | 100 | А | \$ 2.33 | 46,568 | I (2) | Various (2) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Add | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| Toporting C white I white / 1100 | Director | 10% Owner | Officer | Other | | | |
| McClintock Robert J P.O. BOX 6179 305 W. LAKE BLVD TAHOE CITY, CA 96145 | х | | | | | | |
| Signatures | | | | | | | |
| Robert J. McClintock | 11/25/2011 | | | | | | |
| <u>**</u> Signature of Reporting Person | Date | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 27,310 shares in SEP 19,158 shares in Family Trust
- (2) 27,310 shares in SEP 19,258 shares in Family Trust

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.