

VALMONT INDUSTRIES INC
 Form 3
 October 04, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Kaniewski Stephen G</p> <p>(Last) (First) (Middle)</p> <p>VALMONT INDUSTRIES, INC.,Â ONE VALMONT PLAZA</p> <p>(Street)</p> <p>OMAHA,Â NEÂ 68154</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>10/01/2016</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>VALMONT INDUSTRIES INC [VMI]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Chief Operating Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 3,345 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|---|------------------|-----------------|--------------|----------------------------|-----------|---------------------------------------|---|
| Non-Qualified Stock Option (Right to buy) | Â <u>(1)</u> | 12/17/2019 | Common Stock | 3,065 | \$ 136.42 | D | Â |
| Non-Qualified Stock Option (Right to buy) | Â <u>(2)</u> | 12/09/2020 | Common Stock | 2,869 | \$ 145.25 | D | Â |
| Non-Qualified Stock Option (Right to buy) | Â <u>(3)</u> | 12/08/2021 | Common Stock | 1,823 | \$ 132.84 | D | Â |
| Non-Qualified Stock Option (Right to buy) | Â <u>(4)</u> | 12/16/2022 | Common Stock | 14,645 | \$ 104.47 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kaniewski Stephen G VALMONT INDUSTRIES, INC. ONE VALMONT PLAZA OMAHA, NE 68154 | Â | Â | Â Chief Operating Officer | Â |

Signatures

R. Andrew Massey For: Stephen G. Kaniweski 10/03/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vested in three equal installments on December 17, 2013, December 17, 2014 and December 17, 2015

(2) Options vests in three equal installments on December 09, 2014, December 09, 2015 and December 09, 2016.

(3) Options vested in three equal installments on December 08, 2015, December 08, 2016 and December 08, 2017.

(4) Options vests in three equal installments on December 16, 2016, December 16, 2017 and December 16, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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