

HARRIS & HARRIS GROUP INC /NY/
 Form 3
 October 28, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, DC 20549

OMB
 APPROVAL
 OMB Number:
 3235-0104
 Expires: January
 31, 2005
 Estimated
 average burden
 hours per
 response...0.5

Form 3

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
 SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or
 Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|--|--|---|--|---|--|
| 1. Name and Address of Reporting Person* | | 2. Date of Event Requiring Statement (Month/Day/Year) | | 4. Issuer Name and Ticker or Trading Symbol | |
| Ramsey Charles E. | | October 17, 2002 | | Harris & Harris Group, Inc. (TINY) | |
| (Last) (First) (Middle) | | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | |
| One Rockefeller Plaza, Suite 1430 | | | | <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below) | |
| (Street) | | | | 7. Individual or Joint/Group Filing (Check Applicable Line) | |
| New York, NY 10020 | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |
| (City) (State) (Zip) | | | | | |

Table I — Non-Derivative Securities Beneficially Owned

| | | | |
|---------------------------------|---|--|---|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
| Common Stock | 26,666 | (D) | |

| FORM 3 (continued) | Table II — Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | |
|--|--|-----------------|---|----------------------------|--|---|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.
Explanation of Responses:

/s/ Charles E. Ramsey 10/23/02

**Signature of Reporting Person Date

*If the form is filed by more than one reporting person, see Instruction 5(b)(v).

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note:File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.