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NOMURA HOLDINGS INC Form 6-K November 13, 2014 Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2014

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.				
Form 20-F <u>X</u> Form 40-F				
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):				
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):				

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Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 13, 2014 By: /s/ Hajime Ikeda

Hajime Ikeda Managing Director

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[Translation of Share Buyback Report for the reporting month from October 1, 2014 to October 31, 2014 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on November 13, 2014]

Class of Shares: Common Stock

1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

	as of October 31, 2014		
		Total	
		Repurchase	
	Number of Shares	Amount (JPY)	
Authorization pursuant to the resolution of the Board			
(October 28, 2014)	40,000,000	28,000,000,000	
(Period of repurchase: from November 13, 2014 to			
January 16, 2015)			
Repurchases during this reporting month (Date of			
repurchase)	(Date)		
Total	0	0	
Aggregate shares repurchased as of the end of this			
reporting month	0	0	
Progress of share repurchase (%)	0.0	0.0	

2. Status of disposition

	as of October 31, 2014	
	Number of shares dispose Aggregate amount of	
	in the reporting month	disposition (JPY)
Treasury shares disposed subject to procedures for		
issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	

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Subtotal

Treasury shares transferred upon merger, share exchange or demerger	(Date)		
Subtotal			
Other (upon request for purchasing less-than-a-full-unit shares)	(Date) Oct 8 Oct 9	47 24	30,286 15,151
Subtotal		71	45,437
Other (exercise of stock acquisition rights)	(Date) Oct 1 Oct 3 Oct 6 Oct 8 Oct 9 Oct 10 Oct 15 Oct 16 Oct 17 Oct 20 Oct 21 Oct 22 Oct 23 Oct 24 Oct 27 Oct 29 Oct 30 Oct 31	7,400 20,000 4,000 5,000 3,000 351,600 8,200 17,500 25,800 33,200 33,500 3,000 378,800 209,600 126,000 136,300 20,500 627,700	7,400 20,000 4,000 5,000 3,000 351,600 8,200 17,500 25,800 33,200 33,500 897,000 1,272,800 2,522,600 126,000 1,555,300 20,500 627,700
Subtotal		2,011,100	7,531,100
Total		2,011,171	7,576,537

3. Status of shares held in treasury

	As of October 31, 2014
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	179.175.158