ADA-ES INC Form 15-12G July 01, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION

UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934

OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d)

OF THE SECURITIES EXCHANGE ACT OF 1934

Commission File Number 000-50216

ADA-ES, Inc.

(Exact name of registrant as specified in its charter)

9135 South Ridgeline Boulevard, Suite 200, Highlands Ranch, Colorado 80129, (303) 734-1727

(Address, including zip code, and telephone number, including area code,

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of registrant s principal executive offices)

Common Stock, no par value

(Title of each class of securities covered by this Form)

n/a

(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1) x
Rule 12g-4(a)(2) ...
Rule 12h-3(b)(1)(i) x
Rule 12h-3(b)(1)(ii) ...
Rule 15d-6 ...

Approximate number of holders of record as of the certification or notice date: One

Pursuant to the requirements of the Securities Exchange Act of 1934, ADA-ES, Inc. has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: July 1, 2013

ADA-ES, Inc.

By: /s/ Mark H. McKinnies Name: Mark H. McKinnies

Title: Senior Vice President, Chief Financial Officer and Secretary