

NOMURA HOLDINGS INC  
Form 6-K  
August 03, 2012  
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## **FORM 6-K**

### **U.S. SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**Report of Foreign Private Issuer**

**Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934**

**Commission File Number: 1-15270**

**For the month of August 2012**

## **NOMURA HOLDINGS, INC.**

**(Translation of registrant's name into English)**

**9-1, Nihonbashi 1-chome**

**Chuo-ku, Tokyo 103-8645**

**Japan**

**(Address of principal executive offices)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

**EXHIBIT**

Exhibit Number

1. Statement on Administrative Action by Japan's Financial Services Agency

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: August 3, 2012

By: /s/ Eiji Miura  
Eiji Miura  
Senior Managing Director

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**Statement on Administrative Action by Japan's Financial**

**Services Agency**

**Tokyo, August 3, 2012** In accordance with Article 51 of the Financial Instruments and Exchange Act, Japan's Financial Services Agency today issued a business improvement order against Nomura Securities Co., Ltd.

We take this matter very seriously and we apologize to our clients and all other concerned parties for the trouble that has been caused. In relation to matters raised in recommendations issued by the Securities and Exchange Surveillance Commission, we announced a series of improvement measures on June 29, including strengthening the management of corporate-related information, improving our personnel management system, and ensuring business ethics. On July 26, we reported on the progress of implementation of these improvement measures.

We will continue to further enhance our internal controls and work across the entire firm to prevent similar incidents occurring in the future and to regain the trust of the public.

**Outline of business improvement order**

- (1) To thoroughly implement and firmly establish the preventative measures described in the internal investigation report.
- (2) To regularly report the status of the implementation of the preventative measures.
- (3) To regularly review the effectiveness of the preventative measures and report the findings of the reviews.

Note: In case any inadequacies are identified as a result of the reviews, to report the causes of the inadequacies and improvement plans to address the inadequacies identified.

- (4) With respect to (1)-(3) above, to submit the first report on Friday, August 10, and then report within 15 days after the end of each financial quarter. Notwithstanding these reporting periods, to submit reports as and when necessary.

ends

**For further information please contact:**

<b>Name</b>	<b>Company</b>	<b>Telephone</b>
Joey Wu	Nomura Holdings, Inc.	81-3-3278-0591
Keiko Sugai	Group Corporate Communications Dept.	
Nomura		

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