

NOMURA HOLDINGS INC  
Form 6-K  
July 29, 2011  
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## FORM 6-K

### U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of July 2011

## NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  X       Form 40-F \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b) (1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b) (7):

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On June 30, 2011, Nomura Holdings, Inc. filed its Annual Securities Report for the year ended March 31, 2011 with the Director of the Kanto Local Finance Bureau of the Ministry of Finance pursuant to the Financial Instruments and Exchange Act.

Information furnished on this form:

**EXHIBIT**

**Exhibit Number**

1. English translation of certain items disclosed in the Annual Securities Report pursuant to the Financial Instruments and Exchange Act for the fiscal year ended March 31, 2011
2. Confirmation Letter and Management's Report on Internal Control Over Financial Reporting

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: July 29, 2011

By: /s/ Shinji Iwai  
Shinji Iwai  
Senior Managing Director

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**EXHIBIT I**

Annual Securities Report Pursuant to the Financial Instruments and Exchange Act for the Fiscal Year Ended March 31, 2011

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Notes:

1. Translation for the underlined items are attached to this form as below.
2. The U.S. dollar amounts, which are not displayed in the Annual Securities Report ( annual report ), are included solely for the convenience of the reader and have been translated at the rate of ¥82.76 = U.S. \$1, the noon buying rate in New York City for cable transfers in foreign currencies as certified for customs purposes by the Federal Reserve Bank of New York on March 31, 2011. This translation should not be construed to imply that the Yen amounts actually represent, or have been or could be converted into, equivalent amounts in U.S. dollars.

**Table of Contents****PART I Corporate Information****Item 1. Information on Company and Its Subsidiaries and Affiliates****1. Selected Financial Data.**

(1) Selected consolidated financial data for the latest five fiscal years.

<b>Year ended March 31</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>
Total revenue (Mil yen)	2,049,101	1,593,722	664,511	1,356,751	1,385,492
Net revenue (Mil yen)	1,091,101	787,257	312,627	1,150,822	1,130,698
Income (loss) before income taxes (Mil yen)	318,502	(64,910)	(780,265)	105,247	93,255
Net income (loss) attributable to Nomura Holdings, Inc. ( NHI ) shareholders (Mil yen)	175,828	(67,847)	(708,192)	67,798	28,661
Comprehensive income (loss) attributable to NHI shareholders (Mil yen)	213,459	(145,571)	(755,518)	77,103	8,097
Total equity (Mil yen)	2,222,959	2,001,102	1,551,546	2,133,014	2,091,636
Total assets (Mil yen)	35,577,511	25,236,054	24,837,848	32,230,428	36,692,990
Shareholders' equity per share (Yen)	1,146.23	1,042.60	590.99	579.70	578.40
Net income (loss) attributable to NHI common shareholders per share - basic (Yen)	92.25	(35.55)	(364.69)	21.68	7.90
Net income (loss) attributable to NHI common shareholders per share - diluted (Yen)	92.00	(35.57)	(366.16)	21.59	7.86
Total NHI shareholders' equity as a percentage of total assets (%)	6.1	7.9	6.2	6.6	5.7
Return on shareholders' equity (%)	8.28	(3.25)	(40.15)	3.70	1.36
Price/earnings ratio (times)	26.61			31.78	55.06
Cash flows from operating activities (Mil yen)	(1,627,156)	(647,906)	(712,629)	(1,500,770)	(235,090)
Cash flows from investing activities (Mil yen)	(533,813)	(102,019)	(98,905)	(269,643)	(423,214)
Cash flows from financing activities (Mil yen)	1,568,703	942,879	999,760	2,176,530	1,284,243
Cash and cash equivalents at end of the year (Mil yen)	410,028	507,236	613,566	1,020,647	1,620,340
Number of staffs	16,854	18,026	25,626	26,374	26,871
[Average number of temporary staffs, excluded from above]	[3,766]	[4,576]	[4,997]	[4,728]	[4,199]

(Notes)

- The selected financial data were stated in accordance with the accounting principles generally accepted in the United States of America ( U.S. GAAP ).
- Shareholders' equity per share, Total NHI shareholders' equity as a percentage of total assets, Return on shareholders' equity are calculated with Total NHI shareholders' equity.
- Due to the retroactive application of Financial Accounting Standards Board ( FASB ), Accounting Standards Codification ( ASC ) 210-20 *Balance Sheet Offsetting* ( ASC 210-20 ), we have reclassified the Total assets and Total NHI shareholders' equity as a percentage of total assets for the years ended March 31, 2007 and 2008. The amounts previously reported are as follows:

<b>Year ended March 31</b>	<b>2007</b>	<b>2008</b>
Total assets (Mil yen)	35,873,374	26,298,798

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Total NHI shareholders' equity as a percentage of total assets (%)	6.1	7.6
4 Price/earnings ratio (times) is not stated for the years ended March 31, 2008 and 2009 due to net loss.		
5 The consumption tax and local consumption tax on taxable transaction are accounted for based on the tax exclusion method.		

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- 6 Certain contract employees who were previously included in temporary staff are included in Number of staffs for the year ended March 31, 2008. Such numbers previously reported have been reclassified to conform to the current year presentation. The numbers previously reported are as follows:

<b>Year ended March 31</b>	<b>2007</b>
Number of staffs	16,145
[Average number of temporary staffs, excluded from above]	[4,434]

- 7 With the application of ASC 946, *Financial Services Investment Companies*, all investments made by investment companies within the scope of the guide are carried at fair value, with changes in fair value recognized through earnings commencing from the fiscal year ended March 31, 2008.

- 8 In accordance with the updated guidance for accounting and reporting of noncontrolling interests in financial statements, included in ASC 810, *Consolidation* ( ASC 810 ), ( updated noncontrolling interests guidance ), the consolidated balance sheets and consolidated statements of operations as of and for the years ended March 31, 2007, 2008 and 2009 have been reclassified. Such reclassification has been made in *Income (loss) before income taxes* and *Total equity*. The amounts previously reported are as follows:

<b>Year ended March 31</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>
Income (loss) before income taxes (Mil yen)	321,758	(64,588)	(779,046)
Total equity (Mil yen)	2,185,919	1,988,124	1,539,396



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(2) Selected stand alone financial data for the latest five fiscal years

<b>Year ended March 31,</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>
Operating revenue (Mil yen)	340,886	419,649	340,071	220,873	219,875
Ordinary income (Mil yen)	207,221	246,231	127,181	29,121	11,690
Net income (loss) (Mil yen)	158,235	53,985	(393,712)	12,083	(15,094)
Common stock (Mil yen)	182,800	182,800	321,765	594,493	594,493
Number of issued shares (1,000 shares)	1,965,920	1,965,920	2,661,093	3,719,133	3,719,133
Shareholders' equity (Mil yen)	1,475,328	1,423,661	1,244,082	1,806,307	1,764,894
Total assets (Mil yen)	4,438,039	4,449,810	3,681,507	4,566,078	5,278,581
Shareholders' equity per share (Yen)	772.51	740.17	466.99	485.62	481.23
Dividend per share (Yen)	44.00	34.00	25.50	8.00	8.00
The first quarter	8.00	8.50	8.50		
The second quarter	8.00	8.50	8.50	4.00	4.00
The third quarter	8.00	8.50	8.50		
The end of a term (the fourth quarter)	20.00	8.50		4.00	4.00
Net income (loss) per share (Yen)	82.97	28.27	(202.62)	3.86	(4.16)
Net income per share - diluted (Yen)	82.59	28.07		3.83	
Shareholders' equity as a percentage of total assets (%)	33.2	31.7	33.1	39.0	32.8
Return on shareholders' equity (%)	10.84	3.74	(29.95)	0.81	(0.86)
Price/earnings ratio (times)					