

ABIOMED INC
Form DEFA14A
July 22, 2009

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a)
of the Securities Exchange Act of 1934

Filed by the Registrant ☒ x

Filed by a Party other than the Registrant ☐ "

Check the appropriate box:

- | | |
|---|--|
| <input type="checkbox"/> Preliminary Proxy Statement | <input type="checkbox"/> Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2)) |
| <input type="checkbox"/> Definitive Proxy Statement | |
| <input checked="" type="checkbox"/> Definitive Additional Materials | |
| <input type="checkbox"/> Soliciting Material Pursuant to §240. 14a-12 | |

ABIOMED, INC.

(Name of Registrant as Specified In Its Charter)

(Name of Person(s) Filing Proxy Statement if other than the Registrant)

Payment of Filing Fee (Check the appropriate box):

☒ x No fee required.

☐ Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

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(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

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Fee paid previously with preliminary materials.

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11 (a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount previously paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing party:

(4) Date filed:

On July 10, 2009, we filed our definitive proxy statement for our annual meeting of stockholders to be held on August 12, 2009. Subsequent to the filing of our proxy statement, we learned that we inadvertently included in the section titled "Securities Beneficially Owned by Certain Persons" information about one of our stockholders that was out of date. We prepared the beneficial ownership table based on information for Great Point Partners, LLC included in a Schedule 13G filed on February 14, 2008. We inadvertently did not update this information based on a Schedule 13G/A filed by Great Point Partners, LLC on February 17, 2009, which reflects an ownership of less than 5% of our common stock. Accordingly, we should have omitted Great Point Partners, LLC from the beneficial ownership table. Below is an updated version of the section of the proxy statement titled "Securities Beneficially Owned by Certain Persons," after deleting the row for Great Point Partners, LLC and updating the associated footnotes accordingly:

SECURITIES BENEFICIALLY OWNED BY CERTAIN PERSONS

At the close of business on June 24, 2009, there were issued and outstanding 37,343,924 shares of our common stock entitled to cast 37,343,924 votes. On June 24, 2009, the closing price of our common stock as reported on the Nasdaq Global Market was \$8.36 per share. The following table provides information, as of June 24, 2009, with respect to the beneficial ownership of our common stock by:

each person known by us to be the beneficial owner of five percent or more of our common stock;

each of our directors and nominees for director;

each of our executive officers named in the Summary Compensation Table under "Executive Compensation" above; and

all of our current directors and executive officers as a group.

This information is based upon information received from or on behalf of the individuals named therein.

| Name (1) | Outstanding | Right to acquire (2) | Total | Percentage |
|--|-------------|-------------------------|-----------|------------|
| Martin P. Sutter (3) | 3,215,000 | 17,000 | 3,232,000 | 8.7% |
| Essex Woodlands Health Ventures (4) | 3,150,000 | | 3,150,000 | 8.4% |
| 21 Waterway Avenue, Suite 225 The Woodlands, TX 77380 | | | | |
| Capital Research Global Investors (5) | 3,119,500 | | 3,119,500 | 8.3% |
| 333 South Hope Street Los Angeles, CA 90071 | | | | |
| Waddell & Reed Financial, Inc (6) | 2,706,621 | | 2,706,621 | 7.2% |
| 6300 Lamar Avenue Overland Park, KS 66202 | | | | |
| Henri A. Termeer (7) | 2,364,638 | 64,000 | 2,428,638 | 6.5% |
| Genzyme Corporation (8) | 2,307,692 | | 2,307,692 | 6.2% |
| 500 Kendall Street Cambridge, MA 02142 | | | | |
| Michael R. Minogue | 193,448 | 724,087 | 917,535 | 2.4% |

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| | | | | |
|--|-----------|-----------|-----------|-------|
| William J. Bolt | 70,912 | 200,416 | 271,328 | * |
| Desmond H. O'Connell, Jr. | 62,876 | 89,000 | 151,876 | * |
| W. Gerald Austen | 48,200 | 64,000 | 112,200 | * |
| Andrew J. Greenfield | 52,453 | 98,500 | 150,953 | * |
| Daniel J. Sutherby (9) | 29,876 | 30,000 | 59,876 | * |
| Dorothy E. Puhly | 11,500 | 70,500 | 82,000 | * |
| David M. Weber | 87,100 | 68,000 | 155,100 | * |
| Louis E. Lataif | 6,576 | 43,000 | 49,576 | * |
| Ronald W. Dollens | 2,685 | 43,000 | 45,685 | * |
| Eric A. Rose | | 30,000 | 30,000 | * |
| Robert L. Bowen | | | | * |
| All executive officers and directors as a group (14 persons) (5,8) | 6,146,965 | 1,541,503 | 7,688,468 | 19.8% |

* Less than one percent.

- (1) Unless otherwise noted, each person identified possesses sole voting and investment power over the shares listed.
- (2) Includes options that will become exercisable within 60 days of June 24, 2009.
- (3) Includes 2,600,000 shares held by Essex Woodlands Health Ventures. Mr. Sutter is a managing director of Essex Woodlands Health Ventures. See footnote 4.
- (4) Based on information provided in Form 4s filed by Mr. Sutter and a Schedule 13G filed jointly on April 4, 2007 by Essex Woodlands Health Ventures Fund VI, L.P. (Essex VI), Essex Woodlands Health Ventures Fund VII, L.P. (Essex VII), Essex Woodlands Health Ventures VI, L.P., the general partner of Essex VI (Essex VI GP), Essex Woodlands Health Ventures VII, L.P., the general partner of Essex VII (Essex VII GP), Essex Woodlands Health Ventures VI, L.L.C., the general partner of Essex VI GP (Essex VI General Partner), Essex Woodlands Health Ventures VII, L.L.C., the general partner of Essex VII GP (Essex VII General Partner), and James L. Currie, Jeff Himawan, Mark Pacala, Martin P. Sutter, Immanuel Thangaraj and Petri Vainio (each, a Manager and collectively, the Managers). Each of the Managers is deemed to have shared voting and investment power with respect to 3,150,000 shares. Each of Essex VII, Essex VII GP and Essex VII General Partner is deemed to have sole voting and investment power with respect to 2,203,182 shares. Each of Essex VI, Essex VI GP and Essex VI General Partner is deemed to have sole voting and investment power with respect to 946,818 shares.
- (5) Based on information provided in a Schedule 13G filed by Capital Research Global Investors on February 13, 2009.
- (6) Based on information provided in a Schedule 13G filed jointly on February 4, 2009 by Waddell & Reed Financial, Inc., Waddell & Reed Financial Services, Inc., Waddell & Reed, Inc., Waddell & Reed Investment Management Company and Ivy Investment Management Company. Each of Waddell & Reed Financial Services, Inc., Waddell & Reed, Inc. and Waddell & Reed Investment Management Company is deemed to have sole voting and investment power with respect to 2,355,721 shares. Waddell & Reed Financial, Inc. is deemed to have sole voting and investment power with respect to 2,706,621 shares. Ivy Investment Management Company is deemed to have sole voting and investment power with respect to 350,900 shares.
- (7) Includes 2,307,692 shares held by Genzyme Corporation, as to which Mr. Termeer disclaims beneficial ownership. Mr. Termeer is the Chief Executive Officer of Genzyme.
- (8) Based on information provided in a Schedule 13G filed by Genzyme on November 19, 2004.
- (9) Based on information available to us, without consultation with Mr. Sutherby, our former Chief Financial Officer.