

TROCIN JEFFREY E
Form 5
October 07, 2002

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION Washington, D.C.
20549**

OMB APPROVAL

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FORM 5
Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b). Form 3 Holdings
Reported Form 4 Transactions
Reported

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section
17(a) of the Public Utility Holding Company Act of 1934 or Section 30(f) of the
Investment Company Act of 1940

(Print or Type
Responses)

1. Name and Address of Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

Trocin Jeffrey E.
(Last) (First) (Middle)

Raymond James Financial (RJF)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for
Sept. 2002
5. If Amendment Date of Original (Month/Year)

Director _____ 10%
 Officer _____ Other
(give (specify
title below) below)

880 Carillon Parkway
(Street)

Executive Vice President-RJA

St. Petersburg FL 33716
(City) (State) (ZIP)

7. Individual or Joint/Group Filing
(Check Applicable Line)
 Form filed by One Reporting Person
Form filed by More than One Reporting Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans-action Date (Month/Day/Year) | 3. Trans-action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Owner-ship Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Owner-ship (Instr. 4) |
|--|---------------------------------------|---------------------------------|---|---|--|--|
| Raymond James Financial, Inc. (RJF) RJF Common Stock RJF Common Stock RJF Common Stock | | | Amount (D) Price | 41,055 4,586 500 | D I I | ESOP cust for children |

**NO UNREPORTED
ACTIVITY**

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* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over)
SEC 2270
(9-96)

FORM 5 Table II – Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned at End of Month (Instr. 4) | 10. Ownership Form of Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Ownership (Instr. 4) |
|--------------------------------------|--|--------------------------------------|--------------------------------|---|--|---|--|---|---|---|
| Employee Stock Option (Right to buy) | Current | | | (A) | 2/18/2003 | Common stock | 5,400 | 22,1667 | | |
| Employee Stock Option (Right to buy) | | 1/18/2003 | | (A) | 2/18/2003 | Common stock | 3,600 | 22,1667 | | |
| Employee Stock Option (Right to buy) | Current | | | (A) | 1/18/2003 | Common stock | 450 | 22,1667 | | |
| Employee Stock Option (Right to buy) | | 1/18/2002-1/18/2003 | | (A) | 1/18/2003 | Common stock | 300 | 22,1670 | | |
| Employee Stock Option (Right to buy) | | 11/18/2002-11/18/2004 | | (A) | 1/18/2005 | Common stock | 6,000 | 20,6250 | | |
| Employee Stock Option (Right to buy) | | 11/28/2004 | | (A) | 1/28/2007 | Common stock | 5,969 | 32,0000 | | |

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buy)
Employee
Stock Option
(Right to
buy)

11/28/04-11/28/06 1/28/2007 Common
stock 4,031 32.0000 25,750 D

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal
Violations,
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ JEFFREY E. TROCIN
**Signature of Reporting Person

October 7, 2002
Date

Note: File three copies of this Form, one of which must be manually signed. If
space is insufficient.
see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained
in this form are not
required to respond unless the form displays a currently valid OMB Number.

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