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STOLLENWERK JOHN J

Form 4

January 03, 2003

FORM 4

_ Check this box if no longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

1. Name and Ad Stollenwerk, Jo			me and Tic rp (USB)	ker or	Trading	g Symbol	6. Relationship of Person(s) to Issuer (Check	1 0			
(Last) (First) (Middle)				of Reporting Person,				Statement for onth/Day/Year 2/03	X Director 10% Owner _ Officer (give title below) Other (specify below)		
(Street) Port Washington, WI 53074			ļ l				Dat	f Amendment, te of Original onth/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State)	(Zip)	Table I Non-Derivative Securitie					rities Acquired, Disposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	Execution Date,	action Code		4. Securities Acqui (A) or Disposed of (Instr. 3, 4 & 5) Amount (A) or (D)			5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. N
Derivative	sion or	Trans-	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of In
Security	Exercise	action	Execution	action	Derivative	Date	Underlying	Security	Securities	ship	Bene
	Price of	Date	Date,	Code	Securities	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Own
(Instr. 3)	Derivative	1 '	if any		Acquired	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Inst
	Security	(Month/	(Month/	(Instr.	(A) or				Following	ative	
		Day/	Day/	8)	Disposed				Reported	Security:	
		Year)	Year)		of (D)				Transaction(s)	Direct	
		1 '	1 '						(Instr. 4)	(D)	
		1 '	1 '		(Instr. 3, 4				,	or	
		1 '	1								

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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		1 1			& 5)				1	1		Indirect	Ī
			Code	\mathbf{T}		 Date Exer-cisable	Expira- tion Date		Amount or Number of Shares			(I) (Instr. 4)	
Restricted Stock Units	1-for-1					(1)	(1)	Common Stock	2,500		2,500	D	
Deferred Compensation Plan Participation	1-for-1					(2)	(2)	Common Stock	839.17		839.17	D	
Non-Employee Director Stock Option (Right to Buy)	\$21.53	1/02/03	A		12,200	(3)	1/02/13	Common Stock	12,200	(4)	12,200	D	
Non-Employee Director Stock Option (Right to Buy)	\$21.64						12/17/12	Common Stock	7,200		7,200	D	
Non-Employee Director Stock Option (Right to Buy)	\$18.95						10/01/12	Common Stock	1,250		1,250	D	
Non-Employee Director Stock Option (Right to Buy)	\$23.18						7/01/12	Common Stock	1,600		1,600	D	
Non-Employee Director Stock Option (Right to Buy)	\$22.16						4/01/12	Common Stock	1,025		1,025	D	
Non-Employee Director Stock Option (Right to Buy)	\$20.78						1/02/12	Common Stock	2,250		2,250	D	
Non-Employee Director Stock Option (Right to Buy)	\$20.78						1/02/12	Common Stock	9,150		9,150	D	
Non-Employee Director Stock Option (Right to Buy)	\$20.78							Common Stock	8,600		8,600	D	
Non-Employee Director Stock Option (Right to Buy)	\$22.02							Common Stock	·		1,625		
Non-Employee Director Stock Option (Right to Buy)	\$23.31						7/02/11	Common Stock			625		
Non-Employee Director Stock Option (Right to Buy)	\$23.20							Common Stock	2,650		2,650	D	
Non-Employee Director Stock Option (Right	\$23.34							Common Stock	6,100		6,100	D	

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\$23.4375				1/02/11	Common Stock	8,800	8,800	D	
\$23.4375				1/02/11	Common Stock	1,850	1,850	D	
\$21.6875				12/12/10	Common Stock	8,900	8,900	D	
\$22.5625				10/02/10	Common Stock	625	625	D	
\$20.875				7/03/10	Common Stock	700	700	D	
\$23.375				4/03/10	Common Stock	1,225	1,225	D	
\$20.9375				1/03/10	Common Stock	6,400	6,400	D	
\$21.375				12/14/09	Common Stock	6,900	6,900	D	
\$25.625				10/01/09	Common Stock	375	375	D	
\$28.00				7/01/09	Common Stock	950	950	D	
\$28.00				7/01/09	Common Stock	3,175	3,175	D	
\$29.4167				3/09/09	Common Stock	10,800	10,800	D	
	\$23.4375 \$21.6875 \$22.5625 \$20.875 \$23.375 \$21.375 \$25.625 \$28.00 \$28.00	\$23.4375 \$21.6875 \$22.5625 \$20.875 \$23.375 \$20.9375 \$21.375 \$25.625 \$28.00 \$29.4167	\$23.4375 \$21.6875 \$22.5625 \$20.875 \$23.375 \$21.375 \$225.625 \$28.00 \$28.00	\$23.4375 \$21.6875 \$22.5625 \$20.875 \$20.9375 \$21.375 \$22.300 \$28.00 \$29.4167	\$23.4375 1/02/11 \$21.6875 12/12/10 \$22.5625 10/02/10 \$20.875 7/03/10 \$23.375 4/03/10 \$20.9375 1/03/10 \$21.375 12/14/09 \$25.625 10/01/09 \$28.00 7/01/09 \$28.00 7/01/09	\$23.4375 1/02/11 Common Stock 1/02/11 Common Stock 12/12/10 Common Stock 12/12/10 Common Stock 10/02/10 Common Stock 10/02/10 Common Stock 10/02/10 Common Stock 10/03/10 Common Stock 10/03/10 Common Stock 1/03/10 Common Stock 1/03	\$23,4375	\$22,4375	Stock Stoc

Explanation of Responses:

⁽¹⁾ The restricted stock units vest in four equal annual installments beginning on December 17, 2003. Vested units are payable in common stock when the reporting person retires in accordance with the Company's director retirement policy.

⁽²⁾ Deferred Compensation Plan Participation is payable in common stock following termination of the reporting person's directorship with U.S. Bancorp.

⁽³⁾ The option vests in four equal annual installments beginning on January 2, 2004.

⁽⁴⁾ Stock Option acquired from Issuer in lieu of \$60,000 of cash compensation for 2003 director fees under the 2001 Executive Stock Incentive Plan.

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By: /s/ <u>By Lee R. Mitau</u> For John J. Stollenwerk <u>1/03/03</u> Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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