

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4

May 09, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BORICK STEVEN J

2. Issuer Name and Ticker or Trading Symbol
SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
05/07/2014

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

7800 WOODLEY AVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

VAN NUYS, CA 91406

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)			
Common Stock	05/07/2014		M		25,640	A	\$ 14.85	276,572	D
Common Stock	05/07/2014		S		25,640	D	\$ 19.2563 (1)	250,932	D
Common Stock	05/08/2014		M		23	A	\$ 15.17	250,955	D
Common Stock	05/08/2014		S		23	D	\$ 19.26	250,932	D
Common Stock	05/08/2014		M		3,700	A	\$ 14.85	254,632	D

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Common Stock	05/08/2014	S	3,700	D	\$ 19,296 (2)	250,932	D
Common Stock	05/08/2014	M	2,000	A	\$ 14.85	252,932	D
Common Stock	05/08/2014	S	2,000	D	\$ 19.3683 (3)	250,932	D
Common Stock	05/08/2014	M	5,000	A	\$ 14.85	255,932	D
Common Stock	05/08/2014	S	5,000	D	\$ 19.1226 (4)	250,932	D
Common Stock	05/08/2014	M	13,660	A	\$ 14.85	264,592	D
Common Stock	05/08/2014	S	13,660	D	\$ 19.0592 (5)	250,932	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 14.85	05/07/2014		M	25,640	03/01/2014 ⁽⁶⁾	03/01/2020	Common Stock	25,640
Stock Option (Right to Buy)	\$ 14.85	05/08/2014		M	10,700	03/01/2011	03/01/2020	Common Stock	24,360 ⁽⁶⁾

Stock Option (Right to Buy)	\$ 15.17	05/08/2014	M	23	08/14/2013 ⁽⁷⁾	08/14/2019	Common Stock	23 ⁽⁷⁾
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BORICK STEVEN J 7800 WOODLEY AVE VAN NUYS, CA 91406			X	

Signatures

/s/ Kerry Shiba as Attorney-in-Fact	05/09/2014
<small>**Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price represents an average price with a trading range of a high of \$19.33 and a low of \$19.25.
- (2) This price represents an average price with a trading range of a high of \$19.39 and a low of \$19.20.
- (3) This price represents an average price with a trading range of a high of \$19.3802 and a low of \$19.35.
- (4) This price represents an average price with a trading range of a high of \$19.2161 and a low of \$19.09.
- (5) This price represents an average price with a trading range of a high of \$19.20 and a low of \$19.00.
- (6) These options vested 25% on March 1, 2011, 25% on March 1, 2012, 25% on March 1, 2013 and 25% on March 1, 2014.
- (7) These options vested 100% on August 14, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.