RR Donnelley & Sons Co Form 4 March 04, 2015

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

Estimated average **SECURITIES** burden hours per response... Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**QUINLAN THOMAS J III** Issuer Symbol RR Donnelley & Sons Co [RRD] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 111 SOUTH WACKER DRIVE 03/02/2015 below) below) Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHICAGO, IL 60606 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership of Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Owned (Month/Day/Year) Ownership (Instr. 8) Direct (D) or Indirect Following (Instr. 4) Reported (I)(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common Stock (withholding  $F^{(1)}$ 85,038 D 1,399,112 03/02/2015 D for tax liability) Common 03/02/2015 M 143,944 D 1.543.056 Α (2) Stock Common Stock 1,457,308 (withholding 03/02/2015  $F^{(3)}$ 85,748 D D 19.05 (4) for tax liability)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

5. Relationship of Reporting Person(s) to

Number:

Expires:

Common Stock 7,160 I  $\frac{\text{By}}{401(k)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)	6. Date Exerc Expiration D (Month/Day/	ate	Underlying Secu	
	Security			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Performance Share Unit	<u>(2)</u>	03/02/2015		M	143,944	(2)	12/31/2014	Common Stock	143,

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

QUINLAN THOMAS J III 111 SOUTH WACKER DRIVE CHICAGO, IL 60606

Chief Executive Officer

# **Signatures**

Jennifer Reiners, pursuant to power of attorney

03/04/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld as payment of a tax liability incident to vesting of restricted stock units issued in accordance with Rule 16b-3.
  - Each performance share unit ("PSU") represented a contingent right to receive one share of Common Stock. The PSUs were earned by achieving a target level of performance measured against calculated cash flow targets during a three-year performance period beginning
- (2) January 1, 2012 and ending December 31, 2014. Awards could range from 50% of the PSUs if the threshold is met up to a target of 100% of the PSUs if performance meets the specified levels. The amount reported above reflects vesting of 94.7% of PSUs and all other PSUs initially granted were forfeited.
- (3) Shares were withheld as payment of a tax liability incident to vesting of performance share units issued in accordance with Rule 16b-3.

Reporting Owners 2

### Edgar Filing: RR Donnelley & Sons Co - Form 4

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(4) Includes 957,598 shares held directly, 85 shares held through dividend reinvestment plan and 499,625 restricted stock units.  Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure.  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays								
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