

AXCELIS TECHNOLOGIES INC

Form 3

November 14, 2013

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

A Lawson Douglas A.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/13/2013

3. Issuer Name and Ticker or Trading Symbol

AXCELIS TECHNOLOGIES INC [ACLS]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

__X__ Officer ____ Other

(give title below) (specify below)

EVP, Corporate Mktg & Strategy

6. Individual or Joint/Group

Filing(Check Applicable Line)

__X__ Form filed by One Reporting
Person____ Form filed by More than One
Reporting Person

C/O AXCELIS

TECHNOLOGIES, INC., A 108

CHERRY HILL DRIVE

(Street)

BEVERLY, A MA A 01915

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

10,098

D

A

Common Stock

7,500

I

By spouse

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security4. Conversion
or Exercise5. Ownership
Form of6. Nature of Indirect
Beneficial
Ownership

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|--|---------------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| Stock option (right to buy) ⁽¹⁾ | 01/15/2014 ⁽²⁾ | 01/15/2020 | Common Stock | 12,500 | \$ 1.59 | D | Â |
| Stock option (right to buy) ⁽¹⁾ | 07/15/2014 ⁽³⁾ | 07/15/2020 | Common Stock | 65,000 | \$ 1.6 | D | Â |
| Stock option (right to buy) ⁽¹⁾ | 07/15/2015 ⁽⁴⁾ | 07/15/2021 | Common Stock | 40,000 | \$ 1.6 | D | Â |
| Stock option (right to buy) ⁽⁵⁾ | 07/16/2016 ⁽⁶⁾ | 07/16/2019 | Common Stock | 37,500 | \$ 0.93 | D | Â |
| Stock option (right to buy) ⁽⁵⁾ | 07/15/2017 ⁽⁷⁾ | 07/15/2020 | Common Stock | 75,000 | \$ 1.99 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Lawson Douglas A. C/O AXCELIS TECHNOLOGIES, INC. 108 CHERRY HILL DRIVE BEVERLY, MA 01915 | Â | Â | Â EVP, Corporate Mktg & Strategy | Â |

Signatures

Lynnette C. Fallon, as attorney in fact for Douglas A. Lawson 11/14/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted pursuant to the Axcelis Technologies, Inc. 2000 Stock Plan.

(2) To become exercisable on 1/15/2014.

(3) Exercisable as to 46,250 shares and to become exercisable as to an additional 18,750 on 7/15/2014.

(4) Exercisable as to 20,000 shares and to become exercisable as to an additional 10,000 shares on each of 7/15/2014 and 7/15/2015.

(5) Granted pursuant to the Axcelis Technologies, Inc. 2012 Equity Incentive Plan.

(6) To become exercisable as to 12,500 shares on each of 7/16/2014, 7/16/2015 and 7/16/2016.

(7) To become exercisable as to 18,750 shares on each of 7/15/2014, 7/15/2015, 7/15/2016 and 7/15/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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