

HARVARD BIOSCIENCE INC  
Form 4  
November 05, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LEWIS EARL R

2. Issuer Name and Ticker or Trading Symbol  
HARVARD BIOSCIENCE INC  
[HBIO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O FLIR SYSTEMS, INC., 25  
ESQUIRE ROAD

11/01/2013

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

BILLERICA, MA 01862

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	11/01/2013		J	3,646 (1)	A \$ 0 115,455 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock option (right to buy)	\$ 3.01	11/01/2013		J	794 <u>(1)</u>	<u>(3)</u>	05/25/2016	Common Stock, par value \$0.01 per share	794
Stock option (right to buy)	\$ 3.32	11/01/2013		J	803 <u>(1)</u>	<u>(4)</u>	06/04/2014	Common Stock, par value \$0.01 per share	803
Stock option (right to buy)	\$ 3.71	11/01/2013		J	801 <u>(1)</u>	<u>(5)</u>	05/24/2017	Common Stock, par value \$0.01 per share	801
Stock option (right to buy)	\$ 3.41	11/01/2013		J	793 <u>(1)</u>	<u>(6)</u>	05/22/2018	Common Stock, par value \$0.01 per share	793
Stock option (right to buy)	\$ 2.59	11/01/2013		J	10,890 <u>(1)</u>	<u>(7)</u>	06/04/2020	Common Stock, par value \$0.01 per share	10,890
Stock option (right to buy)	\$ 4.04	11/01/2013		J	11,414 <u>(1)</u>	<u>(8)</u>	06/02/2021	Common Stock, par value \$0.01 per share	11,414
Stock option (right to buy)	\$ 2.56	11/01/2013		J	5,113 <u>(1)</u>	<u>(9)</u>	06/01/2022	Common Stock, par value \$0.01 per share	5,113
	\$ 3.64	11/01/2013		J		<u>(10)</u>	05/31/2023		3,616



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