

RingCentral Inc  
Form 4  
September 30, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Marlow John H

(Last) (First) (Middle)

1400 FASHION ISLAND BLVD.,  
7TH FLOOR

(Street)

SAN MATEO, CA 94404

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
RingCentral Inc [RNG]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/26/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

SVP &amp; General Counsel

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	09/26/2013		J <sup>(1)</sup>	450,000 D <u>(1)</u>	0	D	
Common Stock	09/26/2013		J <sup>(1)</sup>	12,500 D <u>(1)</u>	0	I	By Trust (2)
Common Stock	09/26/2013		J <sup>(1)</sup>	12,500 D <u>(1)</u>	0	I	By Trust (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control**

SEC 1474  
(9-02)

Edgar Filing: RingCentral Inc - Form 4

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Class B Common Stock	(3)	09/26/2013		J(1)		450,000		(3)	(3)	Class A Common Stock
Class B Common Stock	(3)	09/26/2013		J(1)		12,500		(3)	(3)	Class A Common Stock
Class B Common Stock	(3)	09/26/2013		J(1)		12,500		(3)	(3)	Class A Common Stock
Employee Stock Option (right to buy)	\$ 0.99	09/26/2013		J(4)			46,573	(5)	04/16/2018	Class B Common Stock
Employee Stock Option (right to buy)	\$ 0.99	09/26/2013		J(4)			46,573	(5)	04/16/2018	Class B Common Stock
Employee Stock Option (right to buy)	\$ 0.99	09/26/2013		J(4)			121,550	(6)	02/13/2019	Class B Common Stock
Employee Stock Option (right to buy)	\$ 0.99	09/26/2013		J(4)			121,550	(6)	02/13/2019	Class B Common Stock
Employee Stock Option (right to buy)	\$ 2.73	09/26/2013		J(4)			115,000	03/02/2012(7)	03/02/2022	Class B Common Stock

Employee Stock Option (right to buy)	\$ 2.73	09/26/2013	<u>J</u> (4)	115,000	03/02/2012 <sup>(7)</sup>	03/02/2022	Class B Common Stock
Employee Stock Option (right to buy)	\$ 10.42	09/26/2013	<u>J</u> (4)	80,000	06/12/2013 <sup>(8)</sup>	06/12/2023	Class B Common Stock
Employee Stock Option (right to buy)	\$ 10.42	09/26/2013	<u>J</u> (4)	80,000	06/12/2013 <sup>(8)</sup>	06/12/2023	Class B Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Marlow John H 1400 FASHION ISLAND BLVD., 7TH FLOOR SAN MATEO, CA 94404			SVP & General Counsel	

## Signatures

/s/ Bruce P. Johnson,  
Attorney-in-fact

09/30/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a reclassification exempt under Rule 16b-7, each share of Common Stock automatically converted into one share of Class B Common Stock on September 26, 2013.
- (2) Shares held in a trust for the benefit of the Reporting Person's children. The Reporting Person and his spouse are co-trustees of this trust. Each share of Class B Common Stock is convertible at any time at the option of the holder into one share of Class A Common Stock and has no expiration date. In addition, each share of Class B Common Stock held by a shareholder will convert automatically into one share of Class A Common Stock upon (i) any transfer of such share (subject to certain exceptions), or (ii) the death of a natural person holding such share. In addition, each share of Class B Common Stock outstanding will convert automatically into one share of Class A Common stock upon (i) the date specified by the holders of at least 67% of the outstanding shares of Class B Common Stock, (ii) the date on which the number of outstanding shares of Class B Common Stock represents less than 10% of the aggregate combined number of outstanding shares of Class A Common Stock and Class B Common Stock or (iii) the seven-year anniversary of the closing date of the Issuer's initial public offering (subject to certain exceptions).
- (3) Pursuant to a reclassification exempt under Rule 16b-7, each share of Common Stock underlying the reported option automatically converted into one share of Class B Common Stock on September 26, 2013.
- (4) 25% of the shares subject to the option vested on 4/16/2009, and 1/48th of the shares vested each month thereafter over the following 36 months.
- (5)

## Edgar Filing: RingCentral Inc - Form 4

- (6) 25% of the shares subject to the option vested on 2/13/2010, and 1/48th of the shares vested each month thereafter over the following 36 months.
- (7) The option was immediately exercisable on date of grant. 25% of the shares subject to the option vested on 3/2/2013, and 1/48th of the shares vest each month thereafter over the remaining 36 months.
- (8) The option was immediately exercisable on date of grant. 25% of the shares subject to the option vest on 6/12/2014, and 1/48th of the shares vest each month thereafter over the remaining 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.