Edgar Filing: LIGAND PHARMACEUTICALS INC - Form 4/A

LIGAND PHARMACEUTICALS INC

Form 4/A June 04, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person
EOEUD MATTUEW W

(First)

(State)

2. Issuer Name and Ticker or Trading

Symbol

LIGAND PHARMACEUTICALS

INC [LGND]

(Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 04/18/2011

11119 NORTH TORREY PINES ROAD, SUITE 200

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

04/20/2011

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below) EVP and COO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LA JOLLA, CA 92037

2. Transaction Date 2A. Deemed 1. Title of Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V (D) Price Amount

Common 04/18/2011 Stock

16,667 A (1)

\$0 16,667

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion or Exercise Price of Derivative	Conversion (More Exercise Price of Derivative	(Month/Day/Year)	tercise any of (Month/Day/Yea vative	Execution Date, if	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A or Disposed (D)		Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh			
Non-Qualified Stock Option (right to buy)	\$ 9.97	04/18/2011		A	51,546 (2)	(3)	04/18/2021	Common Stock	51,5			

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FOEHR MATTHEW W 11119 NORTH TORREY PINES ROAD SUITE 200 LA JOLLA, CA 92037

EVP and COO

Signatures

By: John P. Sharp For: Matthew W.

Foehr 06/04/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amended to correct the number of restricted stock units issued.
- (2) Amended to correct the number of options issued from 51,547 to 51,546.
- (3) Grant to reporting person of options, exercisable over a 4-year period measured from the date of grant, 12-1/2% after six months, then 1/48th of the Optioned Shares per month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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