Sullivan Mark L. Form 3 May 08, 2013

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TriState Capital Holdings, Inc. [TSC] Sullivan Mark L. (Month/Day/Year) 05/08/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE OXFORD CENTRE, 301 (Check all applicable) **GRANT STREET, SUITE 2700** (Street) 6. Individual or Joint/Group \_X\_ Director 10% Owner \_X\_ Officer \_ Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting See Remarks Person PITTSBURGH, Â PAÂ 15219 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â **COMMON STOCK** 100,045 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise Form of	Ownership	
		(Instr. 4)	Price of	Derivative	(Instr. 5)
	Date Exercisable	Title	Derivative	Security:	
			Security	Direct (D)	

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
STOCK OPTIONS (RIGHT TO BUY)	07/22/2009(1)	01/22/2017	COMMON STOCK	500,000	\$ 10	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
, ,	Director	10% Owner	Officer	Other	
Sullivan Mark L.					
ONE OXFORD CENTRE	ÂΧ	â	See Remarks	â	
301 GRANT STREET, SUITE 2700	АЛ	А	Remarks	А	
PITTSBURGH, PA 15219					

### **Signatures**

/s/ Fenimore, Kay, Harrison & Ford, LLP by Brent Standefer, Jr., Attorney-in-fact

05/08/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 250,000 of these options vested and became exercisable on or about 07/22/2009, and the remaining 250,000 options vested and became exercisable on 01/22/2012.

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#### **Remarks:**

#### VICEÂ CHAIRMANÂ ANDÂ CHIEFÂ FINANCIALÂ OFFICER

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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