### Edgar Filing: Shuster Lewis - Form 4

March 18, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Check this box if no longer subject to Section 16, Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).  (Print or Type Responses) 1. Name and Address of Reporting Person 1 (b).  (Last) (First) (Middle) (Last) (First) (Middle) (Ist)	Shuster Lewi	S										
FORM 4 Washington, D.C. 20549       OMB APPROVAL Washington, D.C. 20549       OMB MPROVAL Washington, D.C. 20549         Check this box if no longer subject to section 16. Form 4 or Form 5 or Section 16. Section 16. Form 4 or Form 5 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Check this box issue compared to the public Utility Holding Company Act of 1935 or Section 16.       State average burden hours per response       State average burden hours per response       State average burden hours per response       State average burden hours per response         (Print or Type Responses)       State of the Public Utility Holding Company Act of 1935 or Section 1(b).       State of the Public Utility Holding Company Act of 1940       State of the Public Utility Holding Company Act of 1940         (Print or Type Responses)       1. Name and Address of Reporting Person 1       2. Issuer Name and Ticker or Trading Symbol       State of Earliest Transaction (Month/Day/Year)       State of Earliest Transaction (Month/Day/Year)       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)	Form 4											
Check this box if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       OMB Number: January 31 SECURITIES       Expires: January 31 Security         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       S. Relationship of Reporting Person() Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).         (Print or Type Responses)       1. Name and Address of Reporting Person() Shuster Lewis       2. Issuer Name and Ticker or Trading Symbol COMPLETE GENOMICS INC [GNOM]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -X_Director below)       -10% Owner below)         (Co COMPLETE GENOMICS, OJ214/2013       0J214/2013       0. Individual or Joint/Group Filing(Check Applicable Line)         MOUNTAIN VIEW, CA 94043       Table 1 - Non-Derivative Securities Acquired (State)       5. Accurities Acquired Of Code (D)       6. Amount of Beneficially ON erefinally Ovned Indirect (I) Ovnership Following       6. Ownership 7. Nature of Form: Direct Indirect Beneficially (I) or Beneficially (Month/Day/Year)	March 18, 20	013										
CHIED STATES SUPERTINGE CONTINUES CONTINUES CONTINUES (CMB)       3235-0287         Washington, D.C. 20549         Number: January 31         January 31         Statement of CHANGES IN BENEFICIAL OWNERSHIP OF         Form 4 or         Form 5         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations         may continue.         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940         (Print or Type Responses)         1. Name and Address of Reporting Person 1         Lissuer Name and Ticker or Trading Symbol         Suge (Complet Te GENOMICS INC (GNOM]         (ComPLETE GENOMICS, 03/14/2013         (ComPLETE GENOMICS, 03/14/2013         NOUNTAIN VIEW, CA 94043         (City) (State) (Zip)         (Code (D)         Genericial by One than One Reporting Person         (City) (State) (Zip)         Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         (City) (State) (Zip)         Table 1 - Non-D	FORM	4									PPROVAL	
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person Shuster Lewis Subject Lewis Subject COMPLETE GENOMICS INC (Complete Genomics, 0) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O COMPLETE GENOMICS, 0) (City) (State) (Zip) MOUNTAIN VIEW, CA 94043 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired Security (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) Reported (Instr. 4) (Instr. 4)		UNITED	STATES					NGE (	COMMISSION	ONID	3235-0287	
in longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expires: 2005         Section 16.       SECURITIES         Form 4 or Form 5       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934.       Estimated average burden hours per response       0.5         Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       30(h) of the Investment Company Act of 1940 1(b).       5. Relationship of Reporting Person(s) to Issuer       5. Relationship of Reporting Person(s) to Issuer         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       10% Owner (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -X_Director -Officer (give tilte below)       10% Owner -Officer (give tilte below)       10% Owner -Officer (give tilte below)       10% Owner -Officer (give tilte below)       -10% Owner -Officer (give tilt				vv as	iiiigtoii,	D.C. 20	547				January 31	
Section 16. SECURITIES burdled average burdle hours per response 0.5 Form 4 or 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol Symbol COMPLETE GENOMICS INC [GNOM] (check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired, Disposed of, or Beneficially Owned (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership 7. Nature of Security (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership 7 (Instr. 4) (Instr.			AENT O	F CHAN	GES IN I	BENEFI	CIA	LOW	NERSHIP OF		Expires: 200	
Form 4 or Form 5 obligations may continue. See tion 17(a) of the Public Utility Holding Company Act of 1935 or Section see Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b).       ()												
obligations may continue.       Filted pills duit to Section 10(a) of the Section to Section 1293; or Section may continue.         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 30(h) of the Investment Company Act of 1940 (b).         (Print or Type Responses)         1. Name and Address of Reporting Person <sup>1</sup> / <sub>2</sub> 2. Issuer Name and Ticker or Trading Symbol         COMPLETE GENOMICS INC [GNOM]         (Last)       (First)         (Month/Day/Year)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)         (City)       (State)         (Zip)       Table 1 - Non-Derivative Securities Acquired (Month/Day/Year)         (City)       (State)         (Zip)       Table 1 - Non-Derivative Securities Acquired (Month/Day/Year)         (City)       (State)         (Zip)       Table 1 - Non-Derivative Securities Acquired (Month/Day/Year)         (State)       (Zip)         Table 1 - Non-Derivative Securities Acquired (Month/Day/Year)       5. Amount of Securities Form: Direct Indirect (Instr. 3)         (Month/Day/Year)       Execution Date, if Transaction(A) or Disposed of (Month/Day/Year)       Securities Form: Direct Indirect (Instr. 4)         (A)       Reported Transaction(S)       Formed Indirect (I)										•		
may continue.       Section 17(a) of the Public Offlip Hotding Company Act of 1935 of Section 30(h) of the Investment Company Act of 1940         (b).       (b).         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol         1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol         (Complete Lewis       Symbol         (Last)       (First)         (Last)       (First)         (Middle)       3. Date of Earliest Transaction (Month/Day/Year)         (CO COMPLETE GENOMICS, 03/14/2013       Officer (give title b) Oher (specify below)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by More than One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person Security (Month/Day/Year)         (City)       (State)       (Zip)       Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Indirect Indire		Filed pur	suant to	Section 16	6(a) of the	e Securit	ies E	xchang	ge Act of 1934,			
See Instruction 1(b).       30(h) of the Investment Company Act of 1940         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Print or Type Responses)       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Print or Type Responses)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -X					•	•	· ·			n		
(Print or Type Responses) 1. Name and Address of Reporting Person <sup>*</sup> . Shuster Lewis <sup>*</sup> Shuster Lewis <sup>*</sup> Subster Lewi	-		30(h)	of the Inv	vestment	Compan	y Ac	t of 194	40			
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         Shuster Lewis       Symbol       COMPLETE GENOMICS INC [GNOM]       6. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)	1(b).											
1. Name and Address of Reporting Person *       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         Shuster Lewis       Symbol       COMPLETE GENOMICS INC [GNOM]       6. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)	(Print or Type R	(esponses)										
Shuster Lewis       Symbol       Issuer         Symbol       COMPLETE GENOMICS INC [GNOM]       (Check all applicable)         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       -XDirector officer (give title       10% Owner Officer (give title         C/O COMPLETE GENOMICS, INC., 2071 STIERLIN COURT       03/14/2013       -XDirector (Month/Day/Year)       -XDirector Officer (give title       10% Owner Officer (give title         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by One Reporting Person 	(I find of Type I	(csponses)										
Shuster Lewis Symbol Issuer Lewis COMPLETE GENOMICS INC [GNOM] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 03/14/2013 (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 03/14/2013 (Check all opplicable) C/O COMPLETE GENOMICS, 03/14/2013 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) MOUNTAIN VIEW, CA 94043 (Cip) Table I - Non-Derivative Securities Acquired 7. Form filed by One Reporting Person — Form filed by One Reporting Person — Form filed by One Reporting Person — Form filed by One Reporting Person (Month/Day/Year) (Instr. 3) (Instr. 3, 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) (Instr. 8) (Instr. 8) (Instr. 3, 4 and 5) (Owned Indirect (I) Owned Indirect (I) Owned Sheenficially (D) or Beneficially (D) or Beneficially (D) or Beneficially (Instr. 4) (Instr. 4) (A) or (Instr. 4) (A) or (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (A) or (Instr. 3) (Instr. 4) (A) or (Instr. 4) (Instr. 4) (A) or (Instr. 4)	Shuster Lewis Symbol			2. Issuer	-				Issuer			
(Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)												
(Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)      X_Director Officer (give title below)      10% Owner Other (specify below)         C/O COMPLETE GENOMICS, INC., 2071 STIERLIN COURT       03/14/2013				COMPLETE GENOMICS INC				2				
(Month/Day/Year)       (Month/Day/Year)       (Month/Day/Year)         C/O COMPLETE GENOMICS, INC., 2071 STIERLIN COURT       03/14/2013         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person Form filed by More than One Reporting Person         MOUNTAIN VIEW, CA 94043       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date (Month/Day/Year)       3.       4. Securities Acquired Transactior(A) or Disposed of Code       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Securities         (Instr. 3)       (Month/Day/Year)       Execution Date, if any       Transactior(A) or Disposed of Code       5. Amount of Code       6. Ownership Securities       6. Ownership Following       7. Nature of Securities         (A)       (Month/Day/Year)       (Instr. 8)       (Instr. 3, 4 and 5)       Owned       Indirect (I)       Ownership Following         (A)       (Transaction(s)       (Instr. 4)       (Instr. 4)       (Instr. 4)				[GNOM	]				(Chec	к ап аррпсаве	;)	
C/O COMPLETE GENOMICS, INC., 2071 STIERLIN COURT (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person — Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year) Execution Date, if (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4)	(Last)	(First) (I	Middle)	3. Date of	Earliest Tra	ansaction						
C/O COMPLETE GENOMICS, 03/14/2013 INC., 2071 STIERLIN COURT (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) .X_Form filed by One Reporting Person —Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if any Code (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4)					(Month/Day/Year)							
Filed(Month/Day/Year)       Applicable Line)      X_ Form filed by One Reporting Person         MOUNTAIN VIEW, CA 9404       State)       (Zip)       Table I - Non-Derivative Securities Acquired       Applicable Line)      X_ Form filed by One Reporting Person         1.Title of       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership       7. Nature of         Security       (Month/Day/Year)       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership       7. Nature of         Instr. 3)       (Month/Day/Year)       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership       7. Nature of         (Instr. 3)       (Month/Day/Year)       (Instr. 8)       (Instr. 8)       (Instr. 3, 4 and 5)       Owned       Indirect (I)       Ownership         (A)       or       (Instr. 4)       (Instr. 4)       (Instr. 4)       (Instr. 4)				03/14/20	)13				5010 ** )	0010 (())		
Filed(Month/Day/Year)       Applicable Line) _X_ Form filed by One Reporting Person 		(Street)		4. If Amer	ndment. Da	te Original			6. Individual or Jo	oint/Group Filin	1g(Check	
MOUNTAIN VIEW, CA 94043       Form filed by More than One Reporting Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of Security       2. Transaction Date (Month/Day/Year)       2A. Deemed       3.       4. Securities Acquired       5. Amount of Securities       6. Ownership       7. Nature of Transaction(A) or Disposed of Ocde         Instr. 3)       Image: Code (D)       Beneficially       (D) or       Beneficial         (Instr. 3)       (Month/Day/Year)       (Instr. 8)       (Instr. 3, 4 and 5)       Owned       Indirect (I)         (A)       (Month/Day)       (Instr. 4)       (Instr. 4)       (Instr. 4)	· · · · · · · · · · · · · · · · · · ·				-							
MOUNTAIN VIEW, CA 94045       Person         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned         1.Title of       2. Transaction Date       2A. Deemed       3.       4. Securities Acquired       5. Amount of       6. Ownership       7. Nature of         Security       (Month/Day/Year)       Execution Date, if       Transaction(A) or Disposed of       Securities       Form: Direct       Indirect         (Instr. 3)       any       Code       (D)       Beneficially       (D) or       Beneficial         (Month/Day/Year)       (Instr. 8)       (Instr. 3, 4 and 5)       Owned       Indirect (I)       Ownership         (A)       Transaction(s)       or       (Instr. 4)       (Instr. 4)       Instr. 4)												
1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any       3.       4. Securities Acquired Transaction(A) or Disposed of Code       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Indirect         (Instr. 3)       any       Code       (D)       Beneficially       (D) or       Beneficial Indirect (I)       Ownership Ownership         (A)       rransaction(s)       Or       (Instr. 4)       (Instr. 4)	MOUNTAI	N VIEW, CA 94	043						· · · · · · · · · · · · · · · · · · ·	Aore than One Ke	eporung	
Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (Instr. 3) Code (D) Execution Date, if Transaction(A) or Disposed of (Instr. 3) Code (D) Executives Form: Direct Indirect (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr	(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed of	f, or Beneficial	ly Owned	
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Or (Instr. 3 and 4)							(A)					
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	Common				Code V	Amount	(D)	Price	(			
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Stock, par value $03/14/2013$ D $\frac{1,666}{(1)}$ D $\frac{\$}{3.15}$ 0 D	-	03/14/2013			D	<i>,</i>	D	φ 3 15	0	D		
\$0.001								5.15				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Shuster Lewis - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 2.69	03/18/2013		D	2,500	(2)	07/15/2020	Common Stock	2,500
Stock Option (right to buy)	\$ 2.43	03/18/2013		D	10,000	(2)	04/08/2020	Common Stock	10,000
Stock Option (right to buy)	\$ 1.91	03/18/2013		D	8,000	(3)	06/21/2022	Common Stock	8,000
Restricted Stock Units	<u>(4)</u>	03/18/2013		D	834	<u>(4)</u>	(4)	Common Stock	834
Restricted Stock Units	(5)	03/18/2013		D	4,000	(5)	(5)	Common Stock	4,000

# **Reporting Owners**

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	
Shuster Lewis C/O COMPLETE GENOMICS, INC. 2071 STIERLIN COURT MOUNTAIN VIEW, CA 94043	Х				
Signatures					
/s/ Maureen Monahan, Attorney in Fac Shuster	03/18/2013				
**Signature of Reporting Person	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were tendered to Beta Acquisition Corporation (the "Purchaser"), a wholly-owned subsidiary of BGI-Shenzhen, pursuant to Purchaser's offer to purchase all of the outstanding shares of the Issuer at a price of \$3.15 per share (the "Per Share Merger Consideration"), without interest, subject to the terms described in the Offer to Purchase for Cash filed with the Securities and Exchange

(1) Commission on September 25, 2012 and in the related Letter of Transmittal, together with any amendments or supplements thereto, and the Agreement and Plan of Merger, dated as of September 15, 2012 (the "Merger Agreement"). Pursuant to the terms of the Merger Agreement, on March 18, 2013, the Issuer completed its merger (the "Merger") with BGI-Shenzhen, whereby the Issuer became a wholly owned subsidiary of BGI-Shenzhen.

In accordance with the terms of the Merger Agreement, each option to acquire shares of the Issuer (whether vested or unvested) that was outstanding immediately prior to the consummation of the Merger was canceled in exchange for the right to receive an amount in cash

(2) equal to the product of the number of shares of Issuer common stock subject to the option and the excess, if any, of the Per Share Merger Consideration over the exercise price per share of each respective award, less any required withholding taxes. This award had fully vested prior to the consummation of the Merger.

In accordance with the terms of the Merger Agreement, each option to acquire shares of the Issuer (whether vested or unvested) that was outstanding immediately prior to the consummation of the Merger was canceled in exchange for the right to receive an amount in cash

(3) equal to the product of the number of shares of Issuer common stock subject to the option and the excess, if any, of the Per Share Merger Consideration over the exercise price per share of each respective award, less any required withholding taxes. The award, which provided for vesting in 36 successive, equal monthly installments measured from June 22, 2012, accelerated and became fully vested upon the consummation of the Merger, pursuant to the terms of the option agreement and the Merger Agreement.

Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock. In accordance with the terms of the Merger Agreement, each restricted stock unit that was outstanding immediately prior to the consummation of the Merger was canceled in

(4) exchange for the right to receive an amount in cash equal to the product of the number of shares of Issuer common stock subject to the restricted stock unit and the Per Share Merger Consideration, less any required withholding taxes. The award, which provided for vesting in three successive and equal annual installments measured from November 30, 2010, accelerated and became fully vested upon the consummation of the Merger, pursuant to the terms of the restricted stock unit agreement and the Merger Agreement.

Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock. In accordance with the terms of the Merger Agreement, each restricted stock unit that was outstanding immediately prior to the consummation of the Merger was canceled in exchange for the right to receive an amount in cash equal to the product of the number of shares of Issuer common stock subject to the

(5) Exchange for the right to receive an amount in cash equal to the product of the number of shares of issuer common stock subject to the restricted stock unit and the Per Share Merger Consideration, less any required withholding taxes. The award, which provided for vesting in three successive and equal annual installments measured from June 22, 2012, accelerated and became fully vested upon the consummation of the Merger, pursuant to the terms of the restricted stock unit agreement and the Merger Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.