#### **BALSON ANDREW**

Form 4 April 02, 2012

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

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obligations

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

**BALSON ANDREW** 

Symbol DUNKIN' BRANDS GROUP, INC.

(Check all applicable)

[DNKN]

(Month/Day/Year)

03/29/2012

3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title X 10% Owner \_ Other (specify

C/O BAIN CAPITAL INVESTORS. LLC, JOHN HANCOCK TOWER,

(First)

200 CLARENDON STREET

(Street) 4. If Amendment, Date Original

(Middle)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

BOSTON, MA 02116

| (City)                                   | (State)                              | (Zip) Tabl  | e I - Non-D                            | Perivative Se                           | curiti | es Acq      | uired, Disposed o  | f, or Beneficial   | lly Owned   |
|--|--------------------------------------|---|--|---|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securitie or(A) or Disp (Instr. 3, 4 | osed o | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock,<br>\$0.001 par<br>value | 03/29/2012                           |   | <u>J(4)</u>                            | 921,916<br>( <u>4)</u>                  | D      | \$ 0<br>(4) | 21,232,682   | I  | See footnotes (1) (2) (3)   |

Common Stock,

\$0 03/29/2012 J(4)72,097 D 72,097

72,097

value

Stock,

\$0.001 par

Common 03/29/2012

 $G^{(4)}$ 

\$0 D (4)

D

\$0.001 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | <b>.</b>            | ate                | Amou<br>Under<br>Securi | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|---|---------------------|--------------------|-------------------------|---|---|---|
|   |   |   | Code V                                 | 4, and 5) (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                   | Amount<br>or<br>Number<br>of<br>Shares                                    |   |   |

### **Reporting Owners**

| Reporting Owner Name / Address   |          | Relationships |         |       |  |  |  |
|--|----------|---------------|---------|-------|--|--|--|
| Reporting Owner Name / Address   | Director | 10% Owner     | Officer | Other |  |  |  |
| BALSON ANDREW<br>C/O BAIN CAPITAL INVESTORS, LLC<br>JOHN HANCOCK TOWER, 200 CLARENDON STREET<br>BOSTON, MA 02116 | X        | X             |         |       |  |  |  |

# **Signatures**

/s/ Andrew 04/02/2012 Balson \*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Andrew Balson is a Managing Director of Bain Capital Investors, LLC ("BCI"), which is the administrative member of Bain Capital Integral Investors 2006, LLC ("BC Integral"). As a result, Mr. Balson may be deemed to share voting and dispositive power with respect to the shares of Common Stock held by BC Integral. Mr. Balson disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

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- BCI is the administrative member of BCIP TCV, LLC ("BCIP TCV"). As a result, Mr. Balson may be deemed to share voting and dispositive power with respect to the shares of Common Stock held by BCIP TCV. Mr. Balson disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.
- BCI is the managing general partner BCIP Associates-G ("BCIP G"). As a result, Mr. Balson may be deemed to share voting and dispositive power with respect to the shares of Common Stock held by BCIP G. Mr. Balson disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.
- On March 29, 2012, BC Integral distributed 921,916 shares of Common Stock to certain members in connection with charitable gifts made by certain members or partners of such members or their direct or indirect owners on March 29, 2012, including 72,097 shares of Common Stock distributed to Mr. Balson, all of which were gifted by Mr. Balson to charity on March 29, 2012. Immediately following such distribution, BC Integral held 21,023,160.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.