## Edgar Filing: Mann Thomas H - Form 4

Form 4	IS H									
August 21, 20										
FORM	4 UNITED	STATES	SECU	RITIES A	AND EX	CHANG	E COMMISSION		PPROVAL	
Washington, D.C. 20549							Number:	3235-0287		
if no long subject to Section 16	Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934						Estimated burden hou response	urs per		
may conti <i>See</i> Instru 1(b).	nue. ction			•	•	mpany Act ny Act of 1	t of 1935 or Section 1940	on		
(Print or Type R	(esponses)									
1. Name and Address of Reporting Person <u>*</u> Mann Thomas H			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		GENWORTH FINANCIAL INC [GNW]				(Check all applicable)				
(Last)	Middle)	3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify below) below)				
	ORTH FINANC WEST BROAD		08/19/2	2009			EXECUTIVE	VICE PRES - G	ENWORTH	
	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
RICHMONI	D, VA 23230						Form filed by Person	More than One R	eporting	
(City)	(State)	(Zip)	Tat	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Repo	ort on a separate line	e for each cla	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
Ţ	·				Perso inforr requi	ons who re nation con red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					sposed of, or convertible	Beneficially Owned securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

1

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Security (Instr. 3)	-		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock									Class A	
Settled SARs	\$ 7.8	08/19/2009		А	80,000		08/19/2013 <u>(1)</u>	08/19/2019	Common Stock	80,000
Reporting Owners										
		Relationships								
<b>Reporting Owner Name / Address</b>			Director 10%		Other					
Mann Thomas H C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230		EXECUTIVE VICE PRES - GENWORTH						ł		
Signa	tures									
/s/ Richard J. Oelhafen, Jr., by power of attorney		f	08/21/20	09						
	**Signature of Reporting Person			Date						
<b>Expla</b>	nation of	of Resnou	1606.							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The SARs vest on 8/19/2013, subject to earlier vesting in one-third increments based on the closing price of the Company's Class A Common Stock exceeding certain specified amounts (\$12.00, \$16.00 and \$20.00, respectively) for 20 consecutive trading days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.