

SUN MICROSYSTEMS, INC.

Form 3

May 02, 2008

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Splain Michael E

(Last) (First) (Middle)

4150 NETWORK CIRCLE

(Street)

SANTA CLARA,Â CAÂ 95054

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

04/28/2008

3. Issuer Name **and** Ticker or Trading Symbol

SUN MICROSYSTEMS, INC. [JAVA]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP, Microelectronics

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

24,116.5 ⁽¹⁾

D

Â

Common Stock

10,000

I

Shares held by Michael E. Splain
& Donna M. Splain JT/WROSReminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of5. Ownership
Form of
Derivative6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	04/18/2009	Common Stock	2,500	\$ 74.32	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	06/13/2009	Common Stock	2,500	\$ 65	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	09/27/2009	Common Stock	3,750	\$ 31.64	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/07/2009	Common Stock	6,250	\$ 50.36	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/07/2009	Common Stock	6,250	\$ 50.36	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	03/19/2010	Common Stock	50	\$ 36.56	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	03/19/2010	Common Stock	3,750	\$ 36.56	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/25/2010	Common Stock	7,500	\$ 14.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/25/2010	Common Stock	11,250	\$ 14.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	05/21/2011	Common Stock	11,250	\$ 16.8	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/13/2011	Common Stock	11,250	\$ 16.832	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	05/18/2012	Common Stock	10,000	\$ 15	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	09/17/2012	Common Stock	12,500	\$ 15.76	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/10/2012	Common Stock	5,000	\$ 18.4	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	01/27/2013	Common Stock	15,000	\$ 16.48	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	07/28/2013	Common Stock	20,750	\$ 15.4	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	11/02/2014	Common Stock	17,500	\$ 21.32	D	Â
Employee Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	01/31/2015	Common Stock	13,125	\$ 26.56	D	Â
	Â ⁽³⁾	11/14/2015		15,525	\$ 20	D	Â

Employee Stock Option
(Right to Buy) ⁽²⁾Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Splain Michael E 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	Â EVP, Microelectronics	Â

Signatures

/s/ Michael E.
Splain

04/30/2008

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 1,124 shares of unvested restricted stock and 19,875 shares of unvested restricted stock units.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) This option vests and becomes exercisable in five equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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