MICROTUNE INC Form 4 May 11, 2007

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* FONTAINE JAMES A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MICROTUNE INC [TUNE]

(Check all applicable)

(First) (Middle) (Last)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

05/10/2007

below)

CEO & President

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**PLANO, TX 75074** 

2201 TENTH STREET

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (right to buy)	\$ 4.53	05/10/2007		A	100,000		<u>(1)</u>	05/10/2017	Common Stock	100,00
Stock Option (right to buy)	\$ 4.53	05/10/2007		A	100,000		<u>(4)</u>	05/10/2017	Common Stock	100,00
Restricted Stock Units	<u>(5)</u>	05/10/2007		A	40,000		<u>(6)</u>	(6)	Common Stock	40,000
Restricted Stock Units	<u>(5)</u>	05/10/2007		A	40,000		<u>(8)</u>	<u>(8)</u>	Common Stock	40,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FONTAINE JAMES A 2201 TENTH STREET	X		CEO & President				
PLANO, TX 75074							

# **Signatures**

/s/ James A.
Fontaine

\*\*Signature of Reporting Person

O5/11/2007

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options shall vest on May 11, 2010.
- (2) Per instruction 4(c)(iii), this column has been left blank.
- (3) Following the reported transactions of this Form 4, the reporting person holds 1,458,342 shares for outstanding options, including 725,006 shares for options which are currently exercisable and 733,336 shares for options which are not currently exercisable.
- (4) Options shall vest on May 11, 2011.
- (5) Each restricted stock unit represents a contingent right to receive one share of Microtune common stock.

**(6)** 

Reporting Owners 2

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The restricted stock units shall vest on May 11, 2010 and the underlying shares shall be delivered to the reporting person on or about such date.

- Following the reported transactions of this Form 4, the reporting person holds 122,900 restricted stock units, including 42,900
- (7) performance-based restricted stock units that were granted under Microtune's 2007 Incentive Compensation Program and which vest in whole or in part based on the achievement of specific performance goals.
- (8) The restricted stock units shall vest on May 11, 2011 and the underlying shares shall be delivered to the reporting person on or about such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.